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2

3 **Title:** Decline of antibody titres three months after two doses of BNT162b2 in non-  
4 immunocompromised adults

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26 **Abstract**

27 **Objective**

28 To assess the antibody response in non-immunocompromised adults after two doses  
29 of BNT162b2.

30

31 **Methods**

32 Prospective, single-centre observational study in non-immunocompromised adults  $\geq$  18  
33 years of age who received two doses of BNT162b2. The study contemplates analyses  
34 of serum samples collected 1.5, 3, 6, 9 and 12 months after the second dose of  
35 BNT162b2; results of the 1.5-and 3-months' time points are presented in this report.

36

37 Antibodies against the receptor binding domain of the S1 subunit of the spike protein of  
38 SARS-CoV-2 (anti-RBD antibodies) were measured using a commercial quantitative  
39 immunoassay. A threshold of 4,160 AU/mL (corresponding to an ID<sub>50</sub> of 1:250) was  
40 used as surrogate marker for serum neutralizing activity.

41

42 **Results**

43 Of 273 hospital workers who received two doses of BNT162b2, 260/273 (95%) agreed  
44 to participate in the study; 2/260 (0.8%) were excluded due to immunocompromised  
45 conditions. At the time of this report, 230/258 (89%) subjects [mean age: 46.0 years  
46 (SD 11.4 years); 143/230 (62%) females; 87/230 (38%) males] had completed three  
47 months of follow-up after the second dose of BNT162b2. Thirty-six (16%) subjects  
48 (36/230) had documented mild SARS-CoV-2 infection prior to receiving the first dose of  
49 BNT162b2.

50

51 Median [IQR] anti-RBD titres 1.5 months after vaccination were 9,356 [5,844 - 16,876]  
52 AU/mL; three months after vaccination, median anti-RBD titres had declined to 3,952  
53 [2,190 - 8,561] AU/mL ( $p < 0.001$ ). Of 199/230 (86.5%) participants who had anti-RBD

54 titres above 4,160 AU/mL 1.5 months after the second dose of BNT162b2, only 95/230  
55 (41%) maintained anti-RBD titres above this level three months after vaccination (p <  
56 0.001).

57

## 58 **Conclusions**

59 The decline of anti-RBD antibodies three months after the second dose of BNT162b2  
60 is of concern because it raises the possibility of a short-lived humoral immunity after  
61 vaccination. Booster doses of BNT162b2 might be required to maintain high titers of  
62 anti-RBD antibodies over time.

63

64

65 **Introduction**

66 The mRNA vaccine BNT162b2 (Pfizer-BioNtech) encoding the receptor binding domain  
67 of the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) spike protein  
68 has shown 95% efficacy in preventing symptomatic infection in clinical trials [1]. In  
69 phase I/II studies, the vaccine produced robust anti-SARS-CoV-2 antibody responses  
70 in healthy adults [2,3]. However, the durability of the antibody response after  
71 vaccination with BNT162b2 remains to be determined [4,5].

72

73 **Methods**

74 We are conducting a prospective, single-centre observational study to assess the  
75 evolution of the antibody response in non-immunocompromised hospital workers  $\geq 18$   
76 years of age who received two doses of BNT162b2 at our institution. The study  
77 contemplates collection of serum samples 1.5, 3, 6, 9 and 12 months after the second  
78 dose of BNT162b2; analysis of results obtained 1.5 and three months after vaccination  
79 are presented in this report. At each time point, data on previous SARS-CoV-2  
80 infection and the diagnostic method used were collected. The study was approved by  
81 the local ethics committee (approval number: 4502). All participants provided informed  
82 consent.

83

84 Antibodies against the receptor binding domain of the S1 subunit of the spike protein of  
85 SARS-CoV-2 (anti-RBD antibodies) were measured at each time point using a  
86 chemiluminescent microparticle quantitative immunoassay (Architect SARS-CoV-2 IgG  
87 II Quant, Abbott). Results were reported as concentrations (AU/mL), with a cut-off  $\geq 50$   
88 AU/mL considered positive. For assessing the correlation between anti-RBD antibody  
89 titres and neutralizing activity, we used a threshold of 4,160 AU/mL as surrogate  
90 marker for serum neutralizing activity. This threshold corresponds to a 50% inhibitory  
91 dilution (ID<sub>50</sub>) of 1:250 in plaque-reduction neutralization studies [6]. Antibodies  
92 targeting the SARS-CoV-2 nucleocapsid (anti-N antibodies) were measured using a

93 chemiluminescent microparticle immunoassay (Architect SARS-CoV-2 IgG, Abbott);  
94 results were reported as a cut-off index, with values  $\geq 1.49$  considered positive. Anti-N  
95 antibodies were only analyzed in serum samples obtained 1.5 months after the second  
96 dose of BNT162b2.

97

98 Previous SARS-CoV-2 infection was identified after review of health records by  
99 documented evidence of SARS-CoV-2 in upper respiratory tract samples by  
100 polymerase chain reaction (PCR) or antigen test, detection of SARS-COV-2 specific  
101 IgG and/or IgM (for IgM alone, concurrent symptoms were required), or a positive anti-  
102 N antibody result.

103

104 Statistical analysis was performed with SPSS, version 21.0 (IBM Corporation) for  
105 Windows. Quantitative variables are expressed as mean and standard deviation (SD)  
106 or median and interquartile range [IQR]. For comparisons between groups, chi-square  
107 tests and non-parametric Wilcoxon rank sum test were used. A two-tailed  $p < 0.05$  was  
108 considered significant.

109

## 110 **Results**

111 Of 273 hospital workers who received two doses of BNT162b2 at our institution, 260/273  
112 (95%) agreed to participate in the study; 2/260 (0.8%) were excluded due to  
113 immunocompromised conditions. At the time of this report, 230/258 (89%) subjects  
114 [mean age: 46.0 years (SD 11.4 years); 143/230 (62%) females; 87/230 (38%) males]  
115 had completed three months of follow-up after the second dose of BNT162b2. Thirty-six  
116 (16%) subjects (36/230) had documented mild SARS-CoV-2 infection prior to receiving  
117 the first dose of BNT162b2; no additional SARS-CoV-2 infections occurred in the  
118 remaining 194/230 (84%) study participants between vaccine doses or during follow-up.

119

120 Serum samples were obtained a mean of 40.1 days (SD 2.8 days) and 88.8 days (SD  
121 2.8 days) after the second dose of BNT162b2. All participants had anti-RBD antibodies  
122 at both time points; titres were higher in men, although the differences were not  
123 statistically significant. Individuals with previous SARS-CoV-2 infection had higher anti-  
124 RBD antibody titres at both time points ( $p < 0.001$ ). Also, 21–30-year-old participants  
125 had significantly higher anti-RBD antibody titres as compared to other age groups at both  
126 time points ( $p = 0.046$  and  $p = 0.023$ , respectively). Results are summarized in the Table.

127

128 Three months after the second dose of BNT162b2, median anti-RBD antibodies had  
129 decreased by 58% in all study participants (from 9,356 AU/mL to 3,952 AU/mL); in  
130 individuals with previous SARS-CoV-2 infection, anti-RBD antibody titres had  
131 decreased by 51% (from 19,016 AU/mL to 9,364 AU/mL). Of 199/230 (86.5%)  
132 participants who had anti-RBD antibodies above 4,160 AU/mL 1.5 months after the  
133 second dose of BNT162b2, only 95/230 (41%) maintained anti-RBD antibody titres  
134 above this level three months after vaccination ( $p < 0.001$ ) (Figure).

135

## 136 **Discussion**

137 This study shows a decline of anti-RBD antibodies in non-immunocompromised adults  
138 three months after the second dose of BNT162b2, regardless of previous SARS-CoV-2  
139 infection. Until recently, a fall in antibodies following vaccination with BNT162b2 has  
140 not been described in other studies with a more limited follow-up [2,6]. Our results are  
141 consistent with those from recent reports showing a continuous decline of anti-RBD  
142 antibodies within 10 weeks after vaccination in individuals who had received two doses  
143 of BNT162b2 [7,8]. This early decay of anti-RBD antibodies is similar to that observed  
144 in patients with mild SARS-CoV-2 infection within three months after the onset of  
145 symptoms [9,10].

146

147 The significance of the decline of anti-RBD antibodies we observed is unclear because  
148 the titres of anti-RBD antibodies that are protective against SARS-CoV-2 infection have  
149 not been defined. Nevertheless, this antibody decline is of concern because it raises  
150 the possibility that protection from humoral immunity after vaccination might be short-  
151 lived. Anti-RBD antibodies are a reasonable indicator of antiviral activity, and robust  
152 correlations between anti-RBD antibodies and viral neutralizing activity have been well  
153 established, with higher anti-RBD titres correlating with higher vaccine efficacy [10-13].

154

155 Although we did not perform neutralization analyses, three months after the second  
156 dose of BNT162b2 most of our study participants had anti-RBD antibody titres that had  
157 fallen below a surrogate neutralization threshold [6]. Recently, breakthrough severe  
158 COVID-19 has been reported in fully vaccinated individuals a median of 39.5 days after  
159 the second dose of BNT162b2 [14]; their median anti-RBD antibody titre was 947.5  
160 AU/ml, with lower values in those subjects with a poor outcome [14]. Although most of  
161 the patients were elderly (median age, 71.1 years) with comorbidities, the study  
162 suggests that a low anti-RBD antibody titre is one factor associated with breakthrough  
163 SARS-CoV-2 infection after complete vaccination with BNT162b2.

164

165 Additional follow-up is needed to determine whether the decline of anti-RBD antibodies  
166 following vaccination will continue a downward trajectory or will plateau at a lower,  
167 steady-state level. In a recent study of convalescent patients, SARS-CoV-2 antibodies  
168 declined rapidly in the first 4 months after infection; this was followed by a more  
169 gradual descent over the ensuing months with antibodies remaining detectable 11  
170 months after infection [15]. This antibody pattern has been attributed to a transition  
171 from an early phase of secretion of serum antibodies by short-lived plasmablasts to a  
172 later phase where anti-SARS-CoV-2 antibodies are produced by a persistent  
173 population of long-lived plasma cells residing in the bone marrow [15]. It appears  
174 therefore, that humoral immunity triggered by SARS-CoV-2 infection is long-lasting;

175 however, it is currently unknown whether BNT162b2 produces a similar immune  
176 response. In a small study of non-infected individuals who received two doses of  
177 BNT162b2, high numbers of SARS-CoV-2 spike protein-targeting B cells were present  
178 in the germinal centers of lymph node biopsies obtained within 15 weeks of the second  
179 dose of BNT162b2 [16]. This B-cell response drives the early humoral immune  
180 response following vaccination, but its durability remains to be determined.

181

182 Anti-RBD antibodies are not the sole correlate of protection against SARS-CoV-2  
183 infection and disease. In addition to specific antibodies and memory B cells,  
184 adaptative immunity to SARS-CoV-2 infection includes specific CD4<sup>+</sup> T cell and CD8<sup>+</sup> T  
185 cell responses. In SARS-CoV-2-infected individuals, each compartment of this  
186 complex immune response exhibits different kinetics, a marked heterogeneity among  
187 individuals, and a durability that extends beyond 6 months after onset of symptoms  
188 [17]. Although the characteristics of the cellular immune response following  
189 vaccination have not been well established, a recent study in a small group of  
190 individuals has shown that two doses of BNT162b2 induced potent SARS-CoV-2-  
191 specific CD4<sup>+</sup> T cell and CD8<sup>+</sup> T cell responses that persisted during a follow-up of 9  
192 weeks [18].

193

194 Our study has several limitations. First, blood samples were not obtained at baseline,  
195 between the first and second doses of the vaccine or immediately after the second dose;  
196 analysis of those additional time points could have contributed to a more precise  
197 description of the kinetics of the early anti-RBD antibody response after vaccination.  
198 Second, we have not performed SARS-CoV-2 neutralization studies; therefore, we  
199 based our considerations on the correlations described in other studies between titres of  
200 binding antibodies and neutralizing capacity against SARS-CoV-2. Finally, we have not  
201 analyzed the cellular immune response following vaccination.

202

203 The significance of the decline of titres of anti-RBD antibodies against SARS-CoV-2 in  
204 terms of the long-term efficacy of BNT162b2 remains to be determined. Booster doses  
205 of BNT162b2 might be necessary to maintain high antibody titres that could prevent  
206 vaccinated individuals from becoming infected with SARS-CoV-2 and transmitting the  
207 virus to others.

208

#### 209 **Author contributions**

210 AE and CC conceived, designed the study, and acquired the data. DVD analyzed the  
211 data. AE, CC and DVD interpreted the data. AE drafted the manuscript; all authors  
212 critically revised the manuscript for its intellectual content and approved the submitted  
213 version. All authors had full access to all the data in the study and agree to be  
214 accountable for all aspects of the work.

215

#### 216 **Transparency declaration**

217 The authors declare that they have no conflicts of interest.

218

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