

**Lung ultrasound as a translational approach for non-invasive assessment of heart failure with reduced or preserved ejection fraction in mice**

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**Authors:** María Villalba-Orero, DVM, PhD<sup>1</sup>, Marina M. López-Olañeta, MLT<sup>1</sup>, Esther González-López, MD, PhD<sup>1,2</sup>, Laura Padrón-Barthe, PhD<sup>1</sup>, Jesús M. Gómez-Salineró, PhD<sup>1</sup>; Jaime García-Prieto, MSc<sup>1</sup>; Timothy Wai, PhD<sup>3</sup>, Pablo García-Pavía, MD, PhD<sup>2,4,5</sup>, Borja Ibáñez, MD, PhD<sup>1,4,6</sup>, Luis J. Jiménez-Borreguero, MD<sup>1,7</sup> and Enrique Lara-Pezzi, PhD<sup>1,4,8\*</sup>

**Affiliations:** <sup>1</sup>Centro Nacional de Investigaciones Cardiovasculares Carlos III, Madrid, Spain; <sup>2</sup>Heart Failure and Inherited Cardiac Diseases Unit. Department of Cardiology. Hospital Universitario Puerta de Hierro, Madrid, Spain; <sup>3</sup>Institut Necker-Enfants Malades, Université Paris Descartes, Paris, France; <sup>4</sup>Centro de Investigación Biomédica en Red para Cardiología (CIBERCV), Spain; <sup>5</sup> Francisco de Vitoria University, Madrid, Spain; <sup>6</sup>Department of Cardiology, Instituto de Investigación Sanitaria (IIS), Fundación Jiménez Díaz Hospital, Madrid, Spain; <sup>7</sup>Hospital de la Princesa, Madrid, Spain; <sup>8</sup>National Heart & Lung Institute, Imperial College London, UK.

**\*Address for correspondence:** Dr. Enrique Lara-Pezzi, Myocardial Pathophysiology Area, Centro Nacional de Investigaciones Cardiovasculares Carlos III, Melchor Fernandez Almagro, 3, 28029 Madrid, Spain. Tel.: +34-914531200, ext. 3309. Fax: +34-914531304. E-mail: [elara@cnic.es](mailto:elara@cnic.es)

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## Abstract

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**Aims**— Heart failure (HF) has become an epidemic and constitutes a major medical, social and economic problem worldwide. Despite advances in medical treatment, HF prognosis remains poor. The development of efficient therapies is hampered by the lack of appropriate animal models in which HF can be reliably determined, particularly in mice. The development of HF in mice is often assumed based on the presence of cardiac dysfunction, but HF itself is seldom proved. Lung ultrasound (LUS) has become a helpful tool for lung congestion assessment in patients at all stages of HF. We aimed to apply this non-invasive imaging tool to evaluate HF in mouse models of both systolic and diastolic dysfunction.

**Methods and results**— We used LUS to study HF in a mouse model of systolic dysfunction, dilated cardiomyopathy, and in a mouse model of diastolic dysfunction, diabetic cardiomyopathy. LUS proved to be a reliable and reproducible tool to detect pulmonary congestion in mice. The combination of LUS and echocardiography allowed discriminating those mice that develop HF from those that do not, even in the presence of evident cardiac dysfunction. The study showed that LUS can be used to identify the onset of HF decompensation and to evaluate the efficacy of therapies for this syndrome.

**Conclusions**— This novel approach in mouse models of cardiac disease enables for the first time to adequately diagnose HF non-invasively in mice with preserved or reduced ejection fraction, and will pave the way to a better understanding of HF and to the development of new therapeutic approaches.

## Introduction

1  
2 Heart failure (HF) represents a global pandemic with an increasing prevalence and is a  
3 major cause of death and hospitalisation worldwide.<sup>1,2</sup> HF is a complex clinical  
4 syndrome characterized by typical symptoms, such as dyspnoea, shortness of breath and  
5 fatigue, and typical clinical signs as congestion, pleural effusion and/or oedema.<sup>3</sup> HF is  
6 caused by structural and/or functional abnormalities that lead to systolic or diastolic  
7 dysfunction, resulting in inefficient cardiac contraction (HF with reduced ejection  
8 fraction, HFrEF) or inefficient relaxation (HF with preserved ejection fraction, HFpEF),  
9 respectively. However, cardiac dysfunction itself is not an evidence of HF.<sup>3</sup> Despite  
10 advances in the treatment of HF during the last decades, the prognosis for these patients  
11 remains poor. In order to identify appropriate therapies appropriate preclinical testing in  
12 animal models is needed.<sup>4</sup>

13 HF is diagnosed in humans mainly based on symptoms and clinical signs.<sup>3</sup> However, in  
14 mice, which are the most widely used animal model in research, it is very difficult to  
15 evaluate symptoms as dyspnoea, shortness of breath and fatigue, and traditionally  
16 researchers have assumed the presence of HF based merely on cardiac dysfunction,  
17 which does not necessarily lead to HF. The diagnosis of HF requires the presence of  
18 reduced LVEF (in HFrEF) or preserved LVEF with clear evidence of diastolic  
19 dysfunction (in HFpEF), but also findings commonly associated with HF itself in  
20 humans, such as pulmonary congestion. Cardiac dysfunction may or may not lead to  
21 HF, and therefore the detection of this condition is not an appropriate substitute for the  
22 assessment of HF. The lack of proper analytical methods to assess the development of  
23 HF in mice is hampering efficient preclinical studies in mice that would allow the  
24 development of new therapies for HF that are urgently needed.<sup>5</sup> This limitation is

1 particularly relevant in mouse models of diastolic dysfunction, commonly described as  
2 HFpEF, syndrome which has increasing prevalence, poor prognosis and for which  
3 treatment is not available.<sup>6,7</sup>

4 A universal mechanism leading to symptoms in HF is pulmonary congestion, which is  
5 defined by accumulation of extravascular lung water that precludes efficient gas  
6 transport in the alveoli and eventually results in dyspnoea and fatigue. Lung ultrasound  
7 (LUS) has emerged in medicine as a readily available, highly reproducible and efficient  
8 method for the assessment of pulmonary congestion.<sup>8-12</sup> LUS is especially useful to  
9 determine pulmonary oedema and pleural effusion, which are common manifestations  
10 of HF.<sup>9,11,13</sup> However, to our knowledge LUS has not been used to detect HF in mouse  
11 models of cardiac disease. Here we provide for first time a translational non-invasive  
12 method based on combined echocardiography and LUS that enables researchers to study  
13 HF progression in mouse models of systolic and diastolic dysfunction and to evaluate  
14 the efficacy of treatments for this syndrome.

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## Methods

17 Additional information on the methods is provided in the online supplement. The  
18 studies were performed conform the Guide for the care and use of laboratory animals.  
19 All animal procedures were approved by the appropriate local and regional ethical  
20 committees for animal experimentation.

### 21 **Mouse models of systolic and diastolic dysfunction**

22 *Mice*

1 Male mice housed in an air conditioned room with a 12 h light/dark cycle and free  
2 access to water and chow were used in this study.

### 3 *Dilated cardiomyopathy*

4 *Yme1<sup>LoxP/LoxP</sup>* mice were crossed to mice expressing the *Cre* recombinase specifically in  
5 cardiomyocytes (Myh6-Cre; cYKO). These mice develop dilated cardiomyopathy  
6 (DCM) by 40 weeks of age and have been previously described.<sup>14</sup> A total of 35 wild  
7 type (WT) and 34 *Yme1<sup>LoxP/LoxP</sup>* mice (Ctl group and DCM group, respectively) were  
8 included for cardiac and pulmonary echography examination at 8 (Ctl, n=8; DCM, n=8),  
9 16 (Ctl, n=9; DCM, n=8), 28 (Ctl, n=9; DCM, n=9) and 40 weeks (Ctl, n=9; DCM,  
10 n=9). Mice were euthanized individually using a CO<sub>2</sub>-filled chamber, at 28 and 40  
11 weeks, to obtain lungs and hearts.

### 12 *Diabetic cardiomyopathy*

13 A murine type 1 diabetes model was used to study HFpEF. Twenty-one mice, 16-20  
14 weeks old, weight 25-30 g were used. Diabetes was induced by injecting streptozotocin  
15 (STZ, 50 mg/kg, 0.05 mol/L in citrate buffer, pH 4.5, Sigma, St. Louis, USA) i.p for  
16 five consecutive days (Diabetic group, n=14). The remaining mice (n=7) received the  
17 same volume of saline solution i.p. during the same number of days and were used as  
18 controls. Blood glucose (BG) levels were monitored in all mice before STZ injection  
19 and every 4 weeks during 28 weeks to confirm the induction of diabetes. Samples for  
20 BG analysis were taken from the tail vein following 4 hours of starvation and measured  
21 using a glucose oxidase test strip (FreeStyle, Abbott Diabetes Care Inc., USA). Cardiac  
22 and pulmonary ultrasound was performed before STZ injection (baseline) and 4, 8, 12,  
23 16, 20, 24 and 28 weeks post-diabetes induction. Those diabetic mice with the highest  
24 lung ultrasound score (see below) were injected with the diuretic drug furosemide (10

1 mg/Kg, s.c. injection, twice per day during 7 days). Afterwards, mice were euthanatized  
2 individually using a CO<sub>2</sub>-filled chamber, and the lungs and the heart were isolated.

### 3 **Cardiac and pulmonary echography protocol**

4 Transthoracic echocardiography examination was blinded performed by an expert  
5 operator using a high-frequency ultrasound system (Vevo 2100, Visualsonics Inc.,  
6 Canada) with a 30-MHz linear probe. Two-dimensional (2D) and M-mode (MM)  
7 echography were performed at a frame rate above 230 frames/sec, and pulse wave  
8 Doppler (PW) was acquired with a pulse repetition frequency of 40 kHz. Mice were  
9 lightly anesthetized with 0.5-2% isoflurane in oxygen, administered via nose cone and  
10 adjusting the isoflurane delivery trying to maintain the HR in 450±50 bpm. Mice were  
11 placed in supine position using a heating platform and warmed ultrasound gel was used  
12 to maintain normothermia. A base apex electrocardiogram (ECG) was continuously  
13 monitored through 4 leads placed on the platform and connected to the ultrasound  
14 machine. Images were transferred to a computer and were analysed off-line by a blinded  
15 expert using the Vevo 2100 Workstation software.

### 16 *Echocardiography*

17 For LV systolic function assessment, parasternal standard 2D long and short axis views  
18 (LAX and SAX view, respectively) were acquired.<sup>15</sup> LV ejection fraction (LVEF) and  
19 LV end-systolic volume (LVVol;s) were obtained from the LAX view, and LV  
20 fractional area change (LVFAC) from the SAX view. Right ventricle (RV) systolic  
21 function was indirectly estimated using the tricuspid annular plane systolic excursion  
22 (TAPSE), obtained from a 2D 4-chamber apical view, measuring maximum lateral  
23 tricuspidal annulus movement as previously described for mice.<sup>16,17</sup> For the study of  
24 diastolic dysfunction in the diabetic cardiomyopathy model, mitral valve flow was

1 evaluated using pulsed-wave (PW) Doppler echography in the 4-chamber apical view as  
2 described.<sup>18</sup> Assessed parameters included early and late diastolic velocity peak wave (E  
3 and A, respectively), the E/A ratio and isovolumetric relaxation time (IVRT).<sup>15</sup>  
4 According to the E/A ratio observed, mitral flow was classified in 2 categories: normal  
5 pattern, defined according to data observed in Ctl group (E/A ratio ranged between 1.35  
6 and 4.00), and abnormal pattern (E/A ratio  $<1.35$  and  $>4.00$ ). Furthermore, LV  
7 diastolic end-diastolic volume, left atrial end-systolic internal diameter in 2D LAX, and  
8 pulmonary artery (PA) flow, just at the beginning of the PA, from a modified angled 2D  
9 LAX view, were also examined to identify the presence of left side cardiac congestion  
10 as well as pulmonary hypertension.<sup>19</sup> PW Doppler was displayed just at the beginning  
11 of the PA. The PA acceleration time (PA accT), PA mean velocity (PA Mean Vel) and  
12 PA velocity time integral (PA VTI) were measured.

### 13 *Lung ultrasound*

14 Left and right side pulmonary fields were longitudinally scanned to visualize the pleural  
15 line, pleural space and lung layers (video 1). The pattern observed was classified  
16 according to the lung sliding during respiration, predominant lines profile, echography  
17 bedside colour, as well as the presence or absence of Z lines, pleural thickness, pleural  
18 defects and pleural effusion, similarly to previous reports in human medicine<sup>11,13,20</sup>  
19 (Fig. 1 and 2). The different parameters were defined and classified as follows:

20 *Lung sliding*: refers to the horizontal movement of the pleural, coinciding with  
21 respirations. Normal sliding is considered when clear movement is detected; low  
22 sliding when poor horizontal movement is detected; absent sliding is determined  
23 when the pleura does not show horizontal motion with respiration. Consider that  
24 a non-horizontal abrupt movement could be seen as a result of cardiac

1 contraction or abdominal reinforcement for breathing. Lung sliding must be  
2 evaluated in live images that allow motion to be observed (i.e. not still).

3 *Line profile:* given by the characteristics of the lines produced by the air artefact.

4 *A lines* are defined as horizontal hyperechoic lines and horizontal repetitions  
5 artefacts visible below the pleural line, indicating air (Fig. 1A). *B lines* are

6 defined as hyperechoic, long, well-defined and laser-like comet-tail artefacts  
7 arising from the pleural line and erasing A-lines, and may indicate interstitial

8 syndrome or oedema (Fig. 1B). According to the kind of lines observed in the

9 LUS, the line profile was classified as A, AB or B (Fig. 2A). An *A profile* was

10 assigned when only A lines are visualized and no B lines are detected; *AB profile*

11 was defined as a mixed pattern, with visible A lines and clear B lines identified

12 (Fig. 2A), whereas a B profile was scored when broad B lines were visualized

13 and no normal A lines were identified.

14 *Colour profile:* determined by the predominant colour observed in the

15 background lung pattern. *Black colour* indicates a normal lung, which full of air  
16 produces an echography background pattern that is black (white colour is

17 visualized only in the A lines themselves). *White colour* indicates severe

18 interstitial oedema/alveolar oedema and is caused by high water content and no

19 air in the lung, which produces a white echography background pattern. For

20 classification, *black colour* was selected when no white areas (except for the A

21 lines themselves) are seen. *Black and white colour*, which indicates

22 mild/moderate interstitial oedema, was selected when white areas were observed

23 but a black background was still present, giving an appearance of a mixed

24 pattern and indicating less air content than normal in lungs and some fluid

1 accumulation (Fig. 2B). White colour was selected when the entire lung appears  
2 with a white colour, with no evidence of normal pattern behind.

3 *Z lines*: these lines refer to small and short hyperechoic, laser-like comet-tail  
4 artefacts arising from the pleural line that do not reach the edge of the lung field  
5 (Fig. 1C and 2C). Z lines were considered absent if no Z lines were observed in  
6 the pleural line. If any Z lines were observed, regardless of the number, these  
7 were interpreted as present. Z lines are better visualized in live images as they  
8 could appear and disappear depending on the ultrasound angle.

9 *Pleural thickness*: normal pleural appearance should be smooth and fine. Pleural  
10 thickening was defined as a marked pleural line widening ( $> 0.3$  mm for mice;  
11 Fig. 2D) indicating increased pressure in the pleural space.

12 *Pleural defects*: described as an irregular pleural contour with or without  
13 involvement of the subpleural interstitial area. Pleural defects mostly provide a  
14 blurred echography and a serrated margin appearance (Fig. 2E).

15 *Pleural effusion*: Effusion was visualized as an anechoic area between the lung  
16 and the intercostal space and, most of the times, placed close to the liver. When  
17 a small but evident amount of free fluid was observed, it was classified as  
18 *moderate* effusion. When the effusion was substantial and collapsed the  
19 associated structures like the lung parenchyma, it was classified as *severe* (Fig.  
20 2F).

## 21 **Lung ultrasound score**

22 A lung ultrasound score (MoLUS score, for Mouse Lung UltraSound score) was  
23 developed based on the LUS findings that determine the presence of HF in mice.

1 Individual scores were assigned to each parameter as detailed in Table 1, based on their  
2 association with the severity of the disease. The MoLUS score represents the sum of the  
3 individual scores for each parameter.

4 To assess the intra-observer and inter-observer agreement in scoring the pulmonary  
5 echography images, a total of 32 lung images representing various degrees of disease  
6 severity were scored by 6 blinded evaluators after they were given access to Fig. 2 and  
7 video S1. These 6 individuals had varying degrees of experience with mouse  
8 echography, ranging from expert in LU to occasional user of echocardiography. For the  
9 assessment of inter-observer variation, the intraclass correlation coefficient (ICC) for  
10 single measures was calculated using the Two-Way Mixed model (ICC3, absolute  
11 agreement) with a confidence interval of 95% using SPSS. For the assessment of intra-  
12 observer variability, evaluators were given access to the same images on a separate day  
13 after randomization, without being told they were the same images. The ICC for the two  
14 sets of scores was calculated for each evaluator separately using the Two-Way Mixed  
15 model (ICC3, absolute agreement). The average of all evaluators was then determined.

16 To determine the reliability of the MoLUS score, we studied the relationship of the  
17 MoLUS score with the lung water content and with parameters related to cardiac  
18 overload and dysfunction. The correlation of PA AccT with the lung water content was  
19 also calculated to identify a cut-off value for water content beyond which mice would  
20 be considered to have lung congestion. Based on the change in the trend of the  
21 correlation between both parameters, 130 g of water content was chosen as the cut-off  
22 value. This value was used to establish an ideal cut-off for the MoLUS score to identify  
23 mice with clear HF using a receiver-operator characteristic (ROC) curve.

## 24 **Statistical analysis**

1 Statistical analyses were performed with GraphPad Prism 5.0 (GraphPad software, Inc.,  
2 www.graphpad.com). Continuous data was expressed as mean  $\pm$ SD. Comparisons  
3 between groups of discrete variables were performed using the Chi-squared test and  
4 continuous variables were tested with an unpaired or paired Student t-test, or a two-way  
5 analysis of variance (ANOVA) followed by Bonferroni test for multiple comparisons,  
6 as appropriate. Pearson correlations were used to determine the relationship between the  
7 different parameters. A receiver-operator characteristic (ROC) curve was used to  
8 determine the ideal cut-off for the MoLUS score to identify HF. Differences were  
9 considered statically significant at  $p < 0.05$ . The ICC for inter- and intra-observer  
10 variation in the MoLUS was calculated as explained above.

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## Results

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### **LUS allows non-invasive assessment of pulmonary congestion associated with HF**

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We used high resolution LUS to determine whether those parameters used in humans to detect fluid accumulation in the lungs, which are often associated with HF, could be observed in mice with heart disease. As shown in Fig. 1 and Fig. 2, and in more specific examples below, the same parameters used in human LUS can be evaluated in mice with chronic heart disease and applied to assess the progression of HF. The time required to acquire images was about 1 minute for both lungs, representing virtually no increase over the time needed for echocardiography.

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To quantify the different parameters associated with HF, we developed a simple score that assigns numerical values to the different LUS profiles (Table 1, Fig S1). The MoLUS score was validated by 6 blinded evaluators with varying degrees of expertise

1 in non-invasive imaging (Table S1). The intraclass correlation coefficient (ICC) for  
2 single measures was 0.817 (CI 0.719-0.894), indicating that all evaluators were  
3 assigning very similar scores to the different parameters. All evaluators were asked to  
4 score the same images on a second day. The average of the intra-rater ICC for all  
5 evaluators was 0.930, confirming the high consistency of the scoring system.

6

### 7 **Non-invasive assessment of HFrEF in a mouse model of DCM using LUS**

8 To investigate the usefulness of LUS to study the development of HF in mice, we first  
9 used a murine model of dilated cardiomyopathy previously reported in which the *Yme11*  
10 gene is specifically knocked out in cardiomyocytes.<sup>14</sup> These mice develop cardiac  
11 systolic dysfunction and chamber dilatation as a result of mitochondrial fragmentation,  
12 and have a median life span of 46 weeks.

13 We performed cardiac and pulmonary echography in wild-type (Ctl group) and  
14 knockout (DCM group) mice at 8, 16, 28 and 40 weeks of age. We observed a  
15 progressive loss of LV contractility in the DCM mice leading to LV systolic  
16 dysfunction, which was evident at 28 weeks of age (Ctl,  $56\pm 8\%$ ; DCM,  $43\pm 10\%$ ;  
17  $p<0.05$ ) and severe at 40 weeks of age (Ctl,  $60\pm 9\%$ ; DCM,  $18\pm 12\%$ ;  $p<0.001$ ; Fig. 3A,  
18 Table S2). Following the onset of systolic dysfunction, DCM mice developed LV and  
19 left atrial volume overload, pulmonary flow alterations and impaired RV systolic  
20 function observed as a reduction in the tricuspid annular plane systolic excursion  
21 (TAPSE; Fig. 3B, Table S2, S3). In agreement with these results, blood serum analysis  
22 and histological evaluation showed an increase in serum BNP, myocardial BNP mRNA  
23 expression and diffuse myocardial fibrosis in the DCM mice at 40 weeks (Fig. 3C-3E).  
24 LUS analysis revealed lung congestion features, including cardiogenic oedema and

1 pleural effusion, in the DCM group at 40 weeks, which resulted in an increased MoLUS  
2 score (Ctl,  $0.78 \pm 1.09$ ; DCM,  $9.00 \pm 7.25$ ;  $p < 0.001$ ; Fig. 4A, 4B, Table S3). In agreement  
3 with the higher MoLUS score, DCM mice showed a significant increase in lung water  
4 content at 40 weeks (Ctl,  $103 \pm 14$  mg; DCM,  $146 \pm 28$  mg;  $p < 0.01$ , Fig. 4C). These  
5 results provide evidence of how LUS in mice helps to distinguish the presence of HF  
6 from mere systolic dysfunction.

7 Histological lung samples obtained from 40 weeks old mice revealed a slight increase in  
8 lung perivascular fibrosis with no remarkable changes in macrophage infiltration in  
9 DCM mice, which was confirmed by qRT-PCR, suggesting mild lung remodelling  
10 without active inflammation (Fig. S2A-D). These results indicate that the changes in the  
11 MoLUS score were not the result of lung inflammation.

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### 13 **MoLUS score correlation with lung congestion parameters and determination of** 14 **the cut-off value**

15 The MoLUS score showed good correlation with the lung water content ( $r = 0.776$ ,  
16  $p < 0.001$ , Fig. 5A), suggesting that it is a reliable tool for non-invasive assessment of  
17 pulmonary congestion in mice. Furthermore, the MoLUS score showed a significant  
18 correlation with echocardiographic parameters associated with lung congestion, such as  
19 PAAccT and left atrium internal diameter (PAAccT,  $r = 0.539$ ,  $p < 0.01$ , Fig. 5B; LAID,  
20  $r = 0.715$ ,  $p < 0.001$ , Fig. 5C), and with parameters of ventricular dysfunction, such as LV  
21 end diastolic volume and ejection fraction (LVVol,d,  $r = 0.762$ ,  $p < 0.001$ , Fig 5D; LVEF,  
22  $r = 0.819$ ,  $p < 0.001$ ; Fig. 5E).

23 To establish the usefulness of the MoLUS score in identifying mice with HF non-  
24 invasively, we used a receiver-operator characteristic (ROC) curve analysis in which

1 mice were categorized according to their lung water content. Since this is a continuous  
2 parameter, we first dichotomised the water content values according to their correlation  
3 with the PAAccT, which has been shown to be a strong indicative of lung congestion.<sup>21</sup>  
4 Figure 5F shows a change in the trend of the association between both parameters  
5 around 130 mg of lung water content. Sensitivity analyses showed that this value  
6 offered the lowest p-value ( $p=0.042$ ), compared to the median ( $p=0.184$ ), the mean  
7 ( $p=0.058$ ) or the third quartile ( $p=0.143$ ) cut-offs. The ROC curve analysis of the  
8 MoLUS score showed an area under the curve of 0.868 ( $p=0.013$ , 95% CI 0.6244 to  
9 1.112). The best accuracy at detecting higher water content was obtained with  
10  $\text{MoLUS} \geq 10.5$  (sensitivity=83%, specificity=100%). We then carried out different  
11 sensitivity analyses changing the water content cut-off value around 130 mg and both  
12 the MoLUS cut-off and its 95% CI (8.3-12.7) remained unaltered. Classification of 40-  
13 week-old mice according to their MoLUS, showed strong significant differences in  
14 parameters associated with lung congestion and cardiac dysfunction between mice  
15 having a  $\text{MoLUS} \geq 10.5$  and those with a lower score (Table 2).

16 Together, these results demonstrate that LUS is a useful non-invasive imaging method  
17 to determine and monitor the development of HF in mice with cardiac disease.

18

### 19 **Assessment of HFpEF in mice with diastolic dysfunction using LUS**

20 To determine whether LUS can be applied used to determine the development of  
21 HFpEF, we used a mouse model of diabetic cardiomyopathy that is known to develop  
22 diastolic dysfunction.<sup>22</sup> As expected, diabetic mice developed hyperglycaemia (Fig. 6A)  
23 and both experimental groups showed preserved LV and RV systolic function, with  
24 LVEF maintained above 50% and with no changes in TAPSE throughout the

1 experimental protocol (Fig. 6B; Table S4). A systematic analysis of different diastolic  
2 parameters showed a significant increase in the number of mice with altered E/A ratio  
3 and a prolonged isovolumetric relaxation time (IVRT) in the diabetic group, supporting  
4 the presence of diastolic dysfunction and pointing towards an increase in LV stiffness  
5 (Fig. 6C, Table S5). Diastolic dysfunction was accompanied by increased circulating  
6 BNP, increased expression of BNP mRNA in the RV and interstitial myocardial fibrosis  
7 (Fig. 6D-F). Diabetic mice also showed a decrease PAAccT suggesting that mice  
8 develop pulmonary remodelling as a consequence of diabetic cardiomyopathy like  
9 human patients do (Table S6).<sup>23</sup> This was further supported by an increase in  
10 perivascular collagen deposition and lysyl oxidase mRNA expression 28 weeks after  
11 STZ injection (Fig. S3A, C). Low macrophage infiltration and no change in the  
12 leukocyte marker CD45 indicated the absence of lung infection in control and diabetic  
13 mice (Fig. S3B, D).

14 Diabetic mice developed LUS changes associated with lung congestion and  
15 progressively increased the MoLUS score starting at 12 weeks post-injection, with  
16 differences with control mice becoming significant at 20 weeks and maintained until the  
17 end of the procedure (Control,  $2.43 \pm 3.21$ ; Diabetic,  $9.62 \pm 4.54$  at 28 weeks after STZ  
18 injection;  $p < 0.001$ ; Fig. 7A, 7B). Although diastolic dysfunction was evident in the  
19 diabetic group from 4 weeks post-treatment, pulmonary echography signs compatible  
20 with HF became significant at 20 weeks after STZ injection, highlighting the difference  
21 between the development of diastolic dysfunction and HF. Stratification of the mice  
22 according  $\text{MoLUS} \geq 10.5$  or lower at 28 weeks post-injection showed a significant  
23 difference in several parameters associated with diastolic dysfunction and HF (Table 3).

24

1 **LUS allows to test the efficacy of HF treatments non-invasively**

2 To determine whether LUS could be used to evaluate the efficacy of a therapeutic  
3 treatment for HF, a diuretic (furosemide) was administered for 2 weeks to those mice  
4 with higher MoLUS at 28 weeks after STZ-injection. Treated mice showed a significant  
5 decrease in the MoLUS score following furosemide administration (before furosemide,  
6  $12.83 \pm 2.11$ ; after furosemide,  $9.33 \pm 2.50$ ;  $p < 0.05$  Fig. 7C), suggesting an improvement  
7 of the HF symptoms. This result indicates that LUS is a useful tool to evaluate therapies  
8 applied to mouse models of HF.

9

10 **Discussion**

11 Mice are the most widely used animals in cardiac research for many reasons. A major  
12 benefit in using mouse models is the availability of transgenic and knockout strains,  
13 which enable the identification of gene or protein targets that pave the way for the  
14 development of new molecular or pharmacological therapies. In addition, recent  
15 technological advances in echocardiography, MRI and micromanometer conductance  
16 catheters have greatly streamlined the assessment of cardiac function in rodents.<sup>24</sup>  
17 However, there is currently no non-invasive tool to assess HF assessment in mice.

18 In this work we report for the first time the use of LUS in mice to identify major  
19 pulmonary changes associated with HF, similar to the way it is used in human medicine.  
20 The protocol described here combines pulmonary echography with echocardiography  
21 and is valid for the study of both HFrEF and HFpEF. Traditionally, lung water content  
22 or lung weight have represented the gold-standard to recognize lung congestion  
23 associated to HF in mice. However, this analysis requires prior sacrifice of the animal,

1 thereby precluding the study of HF progression and the response to treatments over  
2 time. Considering that demonstration of an underlying cardiac disease, which is  
3 normally determined by echocardiography, is central to the diagnosis of HF, the use of  
4 LUS for the assessment of HF has substantial advantages. LUS analysis in mice is  
5 reliable, reproducible, easy to implement, cost-effective and represents virtually no  
6 increase in the time needed to analyse each animal. Importantly, the results obtained  
7 with LUS are in agreement with more traditional and invasive indicators of lung  
8 congestion, cardiac distress and heart failure, including increased water content, cardiac  
9 dysfunction and fibrosis.

10 LUS is well established in medicine for the assessment of pulmonary interstitial fluid in  
11 patients with HF and suspicion of pulmonary cardiogenic oedema, and has proved  
12 higher sensitivity and specificity than the clinical exam and chest X-ray.<sup>9,25</sup> Considering  
13 that most HF exacerbations are related to a progressive rise in cardiac filling pressures  
14 that precipitates pulmonary congestion, resulting in interstitial and alveolar oedema and  
15 symptomatic decompensation, LUS provides an excellent tool for diagnosis.<sup>8,26</sup>  
16 Furthermore, the MOLUS score enables researchers to follow the progression of HF and  
17 the response to drugs. While we established a cut-off value of 10.5 above which the  
18 presence of HF is certain, the range of values represents different degrees of HF severity  
19 that allow to establish differences between conditions beyond a mere binary  
20 classification. Several studies have also shown that LUS predicts re-hospitalization and  
21 all-cause mortality in patients admitted with HF. Therefore, it may be used not only to  
22 diagnose HF but also to monitor the response to different treatments.<sup>12,19</sup>

23 It is important to note that, in medicine, B-lines, pleural irregularities, thickening of  
24 subpleural septa and low sliding have limited specificity and can be found in the area  
25 surrounding isolated alveolar consolidations in infectious, infiltrative, sclerosis or

1 traumatic lung disease. In addition, it is not always possible to separate extravascular  
2 lung water accumulation due to heart failure or due to acute respiratory distress  
3 syndrome. Differentiation by LUS must include consideration of the clinical context  
4 and may be supported by other modalities such as echocardiography, which readily  
5 detects abnormal cardiac or valvular function.<sup>26</sup> Similar limitations may be present in  
6 mice and, therefore, echocardiographic assessment for the detection of systolic or  
7 diastolic impairment must accompany LUS in order to establish the presence of HF, as  
8 shown here.

9 In summary, the method presented in this report, combined with existing models of HF  
10 and genetically modified mice, represents an invaluable resource to follow up HF non-  
11 invasively, investigate new treatments and will allow us to improve our knowledge of  
12 HF. This is particularly relevant for the study of HFpEF, which accounts for 50% of HF  
13 cases in humans and for which there are no therapeutic options available. It also opens  
14 the possibility to study the mechanisms underlying decompensation and the  
15 identification of new biomarkers that can predict the end stages of HF. Given its  
16 potential for translational research, we expect that the method described here will pave  
17 the way for the development of new diagnostic and therapeutic approaches for this  
18 highly prevalent syndrome.

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### **Conflict of Interest**

10 None declared.

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## FIGURES LEGENDS

**Figure 1. Lung ultrasound lines profile.** Representative schematic (left column) and its corresponding real echography (right column) of A, B and Z lines (**A**, **B** and **C**, respectively) in mice. **A**, images belong to a C57BL/6 control mouse, **B** and **C** images belong to C57Bl/6 diabetic mice. **P**, pleura; **R**, rib; **L**, liver. Arrows indicate the different types of lines.

**Figure 2. Representative lung ultrasound (LUS) alterations used to classify pulmonary damage and to determine the MoLUS score.** (**A**) Echography images representing typical LUS profiles according to the predominant lines in each image (**B**) Echography images representing typical LUS profiles according to the echography background colour (**C**) Z lines, presented as short perpendicular lines rising from the pleura to the lung. (**D**) Thicker pleura observed in HF. (**E**) Pleural defects, defined as areas where the pleural line is interrupted and irregular (arrow). (**F**) Pleural effusion, visualized as an anechogenic area in the pleural space (arrow).

**Figure 3. Cardiac-specific Yme11 knockout mice develop systolic dysfunction and dilated cardiomyopathy.** (**A**, **B**) Echocardiography parameters related to left ventricle (LV) contractility (**A**) and LV dimension (**B**) in control (Ctl) and cardiac-specific Yme11 knockout mice, which develop dilated cardiomyopathy (DCM). (**C**, **D**) Blood serum BNP and LV BNP mRNA expression levels were determined in 40 weeks old Ctl and DCM mice. (**E**) Quantification of fibrotic tissue in Ctl and DCM mice. LVEF, left ventricular ejection fraction; LVVol,d, left ventricular volume in diastole; w, weeks.

Graphs represent mean  $\pm$ SD. \* $P$ <0.05, \*\* $P$ <0.01, \*\*\* $P$ < 0.001 compared to the Ctl group and ### $P$ <0.001 compared to previous time points using two-way ANOVA followed by Bonferroni (A, B) correction or unpaired t-test (C-E).

**Figure 4. Mice with dilated cardiomyopathy develop pulmonary changes associated with HFrEF.** (A) LUS representative images of Ctl and DCM mice at 40 weeks of age. (B) The mouse lung ultrasound score (MoLUS score) was obtained at 8, 16, 28 and 40 weeks of age in Ctl and DCM mice. (C) Lung water content was determined in Ctl and DCM mice at 28 and 40 weeks of age. MoLUS, mouse lung ultrasound; w, weeks. Graphs represent mean  $\pm$ SD. \*\*\* $P$ < 0.001 compared to the Ctl group and ### $P$ <0.001 compared to previous time points using two-way ANOVA followed by Bonferroni correction.

**Figure 5. The MoLUS score shows good correlation with others parameters related to lung congestion and cardiac dysfunction.** Control and cardiac-specific Yme11 knockout mice, which develop dilated cardiomyopathy (DCM) at 40 weeks of age were used. (A) Pearson correlation between the MoLUS score and lung water content. (B-D) Correlation between the MoLUS score and echocardiographic parameters related to cardiac and lung congestion. (E) Correlation between MoLUS score and left ventricle contractility (LV ejection fraction). (F) Correlation between pulmonary artery acceleration time and lung water content, used to establish a lung water content cut-off value.

**Figure 6. Diabetic mice develop early diastolic dysfunction.** (A) Blood glucose levels obtained in control (Ctl,n=7) and diabetic mice (n=14) at basal, 4, 8, 12, 16, 20, 24 and

28 weeks after STZ (diabetic) or saline (Ctl) injection. **(B, C)** Echocardiography parameters related to left ventricle contractility (LVEF, **B**) and mitral flow pattern, **(C)** were obtained in Ctl (n=7) and diabetic mice (n=14) at baseline and at 4, 12, 20 and 28 weeks after STZ or saline injection. **(D)** Blood serum BNP levels were determined at different time points after STZ injection by ELISA (**D**, Ctl, n=7; diabetic, n=14). **(E)** BNP mRNA expression was analysed by qRT-PCR at 28 weeks post-injection in left and right ventricle (LV and RV). **(F)** Collagen distribution was analysed by Masson's trichrome staining in Ctl and diabetic mice and quantified as percentage of total myocardial area. LVEF, left ventricular ejection fraction; Graphs represent mean  $\pm$ SD. \* $P$ <0.05, \*\* $P$ <0.01, \*\*\* $P$ < 0.001 compared to the control group and ## $P$ <0.01, ### $P$ <0.001 compared to previous time points using two-way ANOVA followed by Bonferroni correction (A, B, C, D) or a unpaired Student t-test (E, F).

**Figure 7. Diabetic mice develop pulmonary changes associated with HFpEF.** **(A)** Representative images of LUS in Ctl and diabetic mice 28 weeks after injection. **(B)** The MoLUS score was determined at baseline and at 4, 12, 20 and 28 weeks after STZ or saline injection. **(C)** Diabetic mice with the highest MoLUS score (n=6) were treated with the diuretic furosemide for 7 days and analysed again by LUS. MoLUS, mouse lung ultrasound score. Graphs represent mean  $\pm$ SD. \* $P$ <0.05, \*\*\* $P$ < 0.001 compared to the control group and ### $P$ <0.001 compared to previous time points using two-way ANOVA followed by Bonferroni correction (B) or a paired Student t-test (C).

## TABLES

Parameter	MoLUS score
<b>Sliding</b>	
Normal	0
Low	1
Absent	2
<b>Profile</b>	
A	0
AB	2
B	4
<b>Echo colour</b>	
Black	0
Black and White	2
White	4
<b>Z lines</b>	
Absent	0
Present	1
<b>Pleural thickness</b>	
≤ 0.3 mm	0
> 0.3 mm	3
<b>Pleural defects</b>	
Absent	0
Present	3
<b>Pleural effusion</b>	
Absent	0
Moderate	2
Severe	4

**Table 1. Score developed to assess pulmonary changes in mice by lung echography.**

The table summarizes all the parameters used to diagnose heart failure in mice and their respective possible scores. The analysis of each parameter is described in Methods.

LUS, Lung ultrasound; MoLUS, Mouse Lung Ultrasound.

<b>MoLUS score in HFrEF</b>			
	<b>&lt; 10.5 (n=16)</b>	<b>&gt;10.5 (n= 7)</b>	<b>p-value</b>
LVVol,d ( $\mu$ l)	78.53 $\pm$ 20.99	151.25 $\pm$ 22.03	0.003
LAID(mm)	2.29 $\pm$ 0.23	3.36 $\pm$ 0.70	<0.001
LVEF (%)	46.26 $\pm$ 18.57	12.26 $\pm$ 5.17	<0.001
PA mean vel (mm/sec)	-315 $\pm$ 102	-197 $\pm$ 31	0.006
PA AccT (mm/sec <sup>2</sup> )	16.78 $\pm$ 3.05	12.78 $\pm$ 3.44	0.008
PA VTI	13.01 $\pm$ 7.03	9.45 $\pm$ 1.99	0.002
Ao CO	17.12 $\pm$ 5.54	13.88 $\pm$ 9.73	0.004
Lung water content	0.11 $\pm$ 0.01	0.17 $\pm$ 0.02	<0.001

**Table 2. Cardiovascular and pulmonary differences among groups established based on the MoLUS score cut-off of 10.5 in 40 weeks-old mice with dilated cardiomyopathy.** LVVol,d, left ventricle end-diastolic volume; LAID, left atrial internal diameter; LVEF, left ventricle ejection fraction; PA mean vel; pulmonary artery mean velocity; PA AccT, pulmonary artery acceleration time; PA VTI; pulmonary artery velocity time integral; Ao CO, cardiac output from aortic flow; ns, not significant.

	MoLUS score HFpEF		
	< 10.5 (n=14)	>10.5 (n= 6)	p-value
LVVol,d (μl)	59.14±13.11	45.58±12.94	0.03
LAID(mm)	2.26±0.26	2.20±0.21	ns
LVEF (%)	58.08±11.48	58.78±4.16	ns
PA mean vel (mm/sec)	-349±42	-285±57	0.005
PA AccT (mm/sec <sup>2</sup> )	17.66±3.32	15.14±2.07	0.05
PA VTI	23.06±3.49	21.47±3.90	ns
Ao CO	16.39±5.72	13.51±6.79	ns
IVRT	20.13±4.35	28.55±9.70	0.006
Lung water content	0.11±0.01	0.17±0.02	<0.001

**Table 3. Cardiovascular and pulmonary differences among groups established based on the MoLUS score cut-off of 10.5 in diabetic mice 28 weeks after STZ-injection.** LVVol,d, left ventricle end-diastolic volume; LAID, left atrial internal diameter; LVEF, left ventricle ejection fraction; PA mean vel; pulmonary artery mean velocity; PA AccT, pulmonary artery acceleration time; PA VTI; pulmonary artery velocity time integral; Ao CO, cardiac output from aortic flow. IVRT, isovolumetric relaxation time; ns, not significant.