Improved antitumor effect of paclitaxel administered *in vivo* as pH and 1 2 glutathione-sensitive nanohydrogels Elena Pérez¹, Ana Martínez², César Teijón³, Rosa Olmo⁴, José María Teijón⁵, 3 María Dolores Blanco* 4 5 1. Dpto. Bioquímica y Biología Molecular III. Facultad de Medicina. Ciudad Universitaria s/n, UCM. 28040 Madrid. E-mail: elenpe01@ucm.es 6 7 2. Facultad de Farmacia. Universidad Francisco de Vitoria. Madrid (Spain). E-mail: am.martinez.prof@ufv.es 8 3. Dpto. Enfermería. Facultad de Enfermería, Fisioterapia y Podología. Ciudad 9 10 Universitaria s/n, UCM. 28040 Madrid E-mail: cteijon@enf.ucm.es 11 4. Dpto. Bioquímica y Biología Molecular III. Facultad de Medicina. Ciudad Universitaria s/n, UCM. 28040 Madrid. E-mail: rmolmo@med.ucm.es 12 13 5 Dpto. Bioquímica y Biología Molecular III. Facultad de Medicina. Ciudad Universitaria s/n, UCM. 28040 Madrid. E-mail: jmt@med.ucm.es 14 *Corresponding author. Dpto. Bioquímica y Biología Molecular III. Facultad de Medicina. 15 16 Ciudad Universitaria s/n, UCM. 28040 Madrid.Telephone: + 34 91 394 1447; Fax number: +34 91 394 1691; E-mail: mdblanco@med.ucm.es 17 18 19 20 21 22 23 24 25 26

27 Abstract

Most antitumor drugs usually affect not only rapidly dividing cells, such as those in tumors, but also highly proliferative cells in normal tissues. This nonspecific drawback could be successfully solved by using nanocarriers as controlled drug delivery systems. In this work, pH and redox-responsive nanohydrogels (NG)

33 based on N-isopropylacrilamide (NIPA), N-hydroxyethyl acrylamide (HEEA) 2-34 acrylamidoethyl carbamate (2AAECM) and N'-N'cystaminebisacrylamide (CBA) 35 as crosslinker were evaluated as bioreducible paclitaxel (PTX) nanocarriers for 36 improving the accumulation of the drug within the tumor tissue and avoiding its 37 conventional side effects. A single dose of PTX solution, unloaded-NHA 80/15/5CBA NG and PTX-loaded NHA 80/15/5-CBA NG (30mg/kg PTX 38 39 equivalent) were subcutaneously injected in female athymic nude mice bearing 40 HeLa human tumor xenografts. PTX-loaded nanohydrogels showed higher antitumor activity than free PTX, as tumor evolution and Ki67 detection 41 42 demonstrated. Histological tumor images revealed a higher content of defective 43 mitotic figures and apoptotic bodies in PTX- treated tumors than in control or unloaded NG treated tumor samples. Nanohydrogels injection did not change 44 45 any biochemical blood parameters, which means no liver or kidney damage 46 after NG injection. However, differences in antioxidant defenses in MPS 47 systems (liver, kidney and spleen) were observed among treatments, which may indicate an oxidative stress response after PTX injection. 48

<u>Keywords:</u> anti-tumor efficacy, *in vivo* toxicity, paclitaxel, stimuli responsive
 nanohydrogel, histopathology, oxidative stress

51 **1. Introduction**

Cancer is the leading cause of death in economically developed countries and 52 the second leading cause of death in developing countries. The global impact of 53 cancer continues to increase largely because of the aging and growth of the 54 55 world population alongside an increasing adoption of cancer-causing behaviors, 56 particularly smoking, in economically developing countries (Jemal et al., 2011). In the last decades, nanotechnology has emerged to improve the therapeutic 57 index of existing chemotherapies and radiotherapy treatments which, in 58 combination with cancer biology advances, can establish new methods for 59 60 cancer care (Hull et al., 2014).

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Most antitumor drugs affect not only rapidly dividing cells, such as those in 62 tumors, but also highly proliferative cells in normal tissues. This nonspecific 63 drawback has limited the clinical application of most anticancer drugs (Li et al., 64 2009). For instance, paclitaxel (PTX) exhibits a significant activity against 65 66 various solid tumors, including advanced ovarian carcinoma, metastatic breast cancer, non-small cell lung cancer, and head and neck carcinomas 67 (Panchagnula, 1998; Spencer and Faulds, 1994). It interferes with mitosis by 68 binding to the β -subunit of tubulin and forming stable, non-functional 69 70 microtubule bundles, causing cell death by disrupting the dynamics necessary for cell division (Crown and O'Leary, 2000). However, its formulation with 71 Cremophor[®] EL and ethanol, due to its high hydrophobicity, supposes several 72 side effects such as hypersensitivity reactions, nephrotoxicity and neurotoxicity 73 (Singla et al., 2002). Thus, to achieve the necessary therapeutic effect of PTX in 74

the desired tumor tissue, suitable carriers for PTX are needed. Many vehicles
have been proposed as good candidates for use as controlled delivery systems
(Yared and Tkaczuk, 2012), but few have progressed to *in vivo* or clinical
studies.

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To reach the goal, stimuli-sensitive polymers have been recently studied for 80 drug delivery (Fleige et al., 2012; Lee et al., 2008), as they can modify their 81 82 structural composition/conformation specific cellular/extracellular in response to chemical, biochemical, or physical stimulus, promoting release of the active 83 84 species to specific biological environment (Motornov et al., 2010). For instance, the high glycolysis showed in solid tumors supposes acidic pH, which can be as 85 low as 5.7, depending on tumor histology, tumor volume and the location within 86 87 a tumor (Engin et al., 1995; Tannock and Rotin, 1989). pH gradient also affects the ionization, intratumoral distribution and cellular uptake of ionizable drugs in 88 89 a tumor (Gao et al., 2005). Thus, a variety of polymeric pH-sensitive components with cleavable bonds have been described, which contain 90 reversible ionizable groups, such as carboxylic or amino groups, such as N'-91 hydroxyethyl acrylamide (HEAA), which allow the swelling of nanogels by 92 electrostatic repulsion (Blanco et al., 2002; Qiao et al., 2011). Otherwise, it is 93 also well known that tumor tissues are highly reducing and hypoxic compared 94 with normal tissues, which means an increase of 7-10 fold higher content of 95 intracellular glutathione (GSH) in tumor cells than in normal cells (Kuppusamy 96 97 et al., 1998). This combination of intracellular elevated GSH and the tumor 98 associated GSH make redox-responsive nanocarriers interesting candidates for targeted drug release. These reduction-sensitive polymers and conjugates 99

show an excellent stability in the circulation and in the extracellular fluids, 100 whereas they are prone to rapid disintegration under a reductive environment 101 present in intracellular compartments, such as the cytoplasm and the cell 102 nucleus (Meng et al., 2009). For this reason, drug delivery systems containing 103 disulfide linkages such as N, N'-cystaminebisacrylamide (CBA) provide 104 significant improvements to cytosolic and nucleus drug targeting, where 105 106 disulfide linkages are rapidly degraded by GSH (Song et al., 2011). Thus, in the last decades many engineered nanocarriers have been designed based on 107 these polymers (Fleige et al., 2012; Klinger and Landfester, 2012; Motornov et 108 109 al., 2010). Moreover, nanohydrogels resemble natural living tissue more than 110 any other class of synthetic biomaterials (Peppas et al., 2000). Their low 111 interfacial tension reduces their tendency to adsorb proteins from body fluids and their three-dimensional structure facilitates a faster diffusion into (drug 112 loading) and out of (drug release) hydrogels to different molecule sizes, which 113 allows the possible use of these polymeric networks as drug delivery systems 114 (Gupta et al., 2002). 115

In our previous work, new stimuli-responsive nanohydrogels based on poly-N-116 isopropylacrilamide (NIPA), N-hydroxyethyl acrylamide (HEAA) and tert-butyl 2-117 acrylamidoethyl carbamate (2AAECM) were synthesized by a microemulsion 118 polymerization method using N,N-cystaminebisacrylamide (CBA) as a 119 crosslinking agent, to obtain bioreducible drug carriers for PTX encapsulation 120 121 (Perez et al., 2014). Cell culture studies revealed effective and cytocompatible 122 nanocarriers for hydrophobic anticancer drugs delivery, such as paclitaxel. 123 Thus, in this study, the in vivo anti-tumor effect of those PTX-loaded 124 nanohydrogels was investigated by using female athymic nude mice bearing

HeLa human tumor xenografts to insure maximal therapeutic efficacy with minimal side effects, increasing drug residence at the target site and improving cellular uptake and intracellular stability.

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129 2. Materials and methods

130 **2.1. Materials**

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Sodium hydroxide (NaOH), hydrochloric acid (HCI; 37%), sodium chloride 132 (NaCl), ethanol absolute, xylene, Triton X-100, phosphoric acid (85% w/ 133 v) anhydrous di-sodium hydrogen phosphate (Na_2HPO_4) and di-134 hydrogen potassium phosphate (KH₂PO₄) were purchased from Panreac 135 136 (Barcelona, Spain). Bovine serum albumin (BSA, Fraction V) and 137 disodium hydrogen phosphate dehydrated were purchased from Merck (Barcelona, Spain).Paclitaxel (Taxol) was supplied by Tocris Bioscience (Mw 138 139 889.95 > 99%). Paraformaldehyde, Commasie-blue G-250 and gentamicin were purchased from Sigma-Aldrich (Barcelona, Spain). Dulbecco's modified Eagle 140 medium + GlutaMax (DMEM) was purchased from Lonza (Belgium). 0.05% 141 trypsin/0.53 mM EDTA, penicillin and streptomycin were purchased from 142 Invitrogen Life Technologies (Grand Island, NY). Hydrogen peroxide solution 143 was obtained from Fluka. All water used in the biochemistry analysis was 144 Millipore Milli Q grade. All the chemicals used were of the highest commercially 145 quality available 146

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149 **2.2.** Preparation and characterization of nanohydrogels

150 Copolymeric nanohydrogels of N-isopropylacrylamide (NIPA), N-hydroxyethyl 151 acrylamide (HEAA) and 2-acrylamidoethyl carbamate (2AAECM) (Agüero et al., 152 2010) using N-N'-cystaminebisacrylamide (CBA) as the crosslinking agent were 153 obtained by microemulsion polymerization, as described before (Perez et al., 154 2014). In the present study, we evaluate the nanohydrogel formulation 155 composed by NIPA/HEAA/2AAECM-CBA 80:15:5:5 namely as NHA 80/15/5-156 CBA.

After the purification process, paclitaxel (PTX) was encapsulated by immersion 157 of 50 mg of the nanogel in a PTX/ethanol solution (10 mg/mL) at room 158 temperature (22°C) in the dark for 24 h. The suspension was then centrifuged at 159 7,000 rpm for 5 min (Digicen20-R Ortoalresa Centrifuge, Radius 8.2 cm rotor; 160 Madrid, Spain) and the pellet dried (Bioblock Scientific 45001, France) until the 161 ethanol had completely evaporated. The amount of PTX loaded in the 162 nanosystems was determined by high-performance liquid chromatography 163 (HPLC) as described in our previous work (Perez et al., 2014). All quantifications 164 165 were performed in triplicate and drug encapsulation efficiency (%) was calculated as previously described (Perez et al., 2014). 166

Zeta potential of unloaded- and PTX-loaded nanohydrogels was analyzed from
a dispersion of those nanosystems in distilled water (Zeta Potential Analyzer,
Brookhaven, Ins.Corp.).

170 **2.3.** In vivo antitumor efficacy studies

- Cell culture. Human cervical cancer cells (HeLa) were cultured and
 maintained in DMEM+GlutaMax-I supplemented with 10% heat inactivated

fetal bovine serum, penicillin (50 U/mL), streptomycin (50 µg/mL) (Invitrogen 173 Life Technologies, Grand Island, NY, USA) and gentamicin (50 µg/mL) 174 (Sigma-Aldrich Company, United Kingdom) in a humidified incubator at 37°C 175 and 5% CO₂ atmosphere (HERA cell, Sorval Heraeus, Kendro Laboratory 176 Products GmbH, Hanau, Germany). Cells were plated in 75 cm² flask 177 (Sarstedt Ag and Co., Barcelona, Spain) and were passaged when reaching 178 179 95% confluence by gentle trypsinization (0.05% trypsin/0.53 mM EDTA; Invitrogen Life Technologies). The cells were harvested during the logarithmic 180 growth phase and re-suspended in serum free medium before inoculation in 181 182 animals.

183 - Experimental model. The experimental protocol involving use of animals was approved by the Institutional Animal Care and Use Committee of Complutense 184 University of Madrid. Female athymic mice (Nu/Nu strain), 4-6 weeks old, 185 186 weighing $20 \pm 3g$ were purchased from Harlan Laboratories (Indianapolis, USA) and were housed under controlled laboratory conditions in 187 polycarbonate cages having free access to sterilized rodent pellet diet and 188 water ad libitum. The animals were allowed to acclimate for at least 48 hours 189 before any experiments. 190

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Subcutaneous tumor xenograft development. 9 x 10⁶ HeLa cells,
 suspended in 200 µl of serum free medium were injected subcutaneously into
 the dorsal side of mice under light isoflurane anesthesia. Palpable solid tumors
 developed within 14-16 days post tumor cell inoculation and as soon as tumor
 volume reached ~140 mm³, the animals were randomly allotted to four
 different groups (6 animals per group): control (saline serum), free PTX in

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aqueous solution, unloaded-NHA 80/15/5-CBA NG and PTX-loaded NHA

199 80/15/5-CBA NG

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In vivo administration of the formulations. Six lightly-anesthetized animals
 per group were used for the experiments. Each lightly-anesthetized tumor bearing animal received PTX at a single dose of 30 mg/ kg either in aqueous
 solution or in PTX-loaded NHA 80/15/5-CBA nanohydrogels (30mg/kg of PTX
 equivalent) by subcutaneous administration (200 µL) in the surroundings of
 tumor.

207 - Evaluation of therapeutic efficacy. Animal weight was recorded three times 208 a week. The tumor diameters were also measured three times weekly with vernier calipers in 2 dimensions. Individual tumor volumes (V) were calculated 209 using the formula: $V = [length x (width)^2]/2$ where length (L) is the longest 210 211 diameter and width (W) is the shortest diameter perpendicular to length. Moreover, tumor volume evolution was divided into two different stages f(rom 212 day 14 to day 30; and from day 30 to day 39) in order to calculate tumor growth 213 rate in those periods of time (V2: 14-30, V3: 30-39). The tumor growth ratio for 214 each phase was calculated using the tumor growth rate established in the first 215 phase, before treatments (V1; V1 = 12.9 mm3/day (r2= 0.97)) (Table 1). 216 Following the completion of treatment schedule, mice were sacrificed and 217 tumors were isolated, weighted and post-fixed in paraformaldehyde 4% for 218 219 histopathological and immunohistochemical analysis. Plasma samples, liver, 220 kidney, spleen, lung, heart, ovary and uterus were also collected, weighted and frozen for later characterization studies. 221

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223 2.4. Tumor histology and Immunohistochemistry Studies

After the post-fixation step, tumors were washed by successive dipping in 224 ethanol and xilene, and embedded in Paraplast[®] (Surgipath[®], Leica). For 225 226 histological observations, the tumors were cut into 8 µm sized sections using a 227 microtome blade, fixed on the glass slide for overnight at 37 °C, and mounted 228 using glass coverslip for observation under a microscope. The tumor sections were analyzed for histology by optical microscopy using the alcian blue 229 hemalum picro-indigo, the toluidine blue, and the hematoxylin-eosin methods 230 (Humason, 1979). 5 µm sized tumor sections were cut in order to develop 231 232 immunohistochemical studies. These studies were carried out using the Ki-67 labeling test (Patel and Amiji, 1996). Ki-67, a nuclear antigen expressed in 233 proliferating cells and absent in resting cells, was qualitatively determined 234 incubating the samples with purified mouse anti-human Ki-67 antibody (1:25, 235 BD Biosciences Pharmingen, USA) and revealed them after by a fluorescence 236 method. After the incubation with the mouse antihuman Ki-67 antibody, samples 237 238 were incubated with a rabbit antimouse-rhodamine as second antibody (1:100, BD Biosciences Pharmingen), then washed and mounted with Dapi-239 Fluoromount-G (SouthernBiotech, AL, USA) for observation by light 240 fluorescence microscopy. To ensure specific staining of the Ki-67 positive cells, 241 242 some tissue sections were treated only with the second antibody.

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244 **2.5.** Blood biochemistry analysis and Oxidative Stress

Once collected blood in heparin tubes, plasma was obtained by centrifugation (3500rpm; 15min; 4°C) to determine several parameters related to liver:

aspartate aminotransferase (AST), alanine aminotransferase (ALT) and
alkaline phosphatase (ALP); and kidney functions: blood urea nitrogen (BUN)
and creatinine (CRE). Assays were performed by enzyme assay kits
(Biosystems[®], Spain) and data were obtained using a spectrophotometer
(Evolution 201, Thermo Scientific, Spain).

252 Otherwise, once organ samples were extracted, they were frozen (-80°C) for later enzymatic activity analysis, in order to evaluate antioxidant defenses. For 253 this purpose, tissue samples from liver, kidney and spleen were weighted and 254 homogenized (Heidoloph RZR 2050 electronic) at 1200 rpm in ice-cold 255 NaCl 0.9% (w/v) and 10µL heparin. The homogenates were mixed with four 256 volumes of Triton X-100 (1%, v/v) and the residual fragments were 257 removed by centrifugation at 12,500 rpm for 15 min. Later, total antioxidant 258 259 capacity (TAC; Oxiselect[™], Cell Biolabs, Inc.), superoxide dismutase activity (SOD; Oxiselect[™], Cell Biolabs, Inc.), total glutathione (Oxiselect[™], 260 Cell Biolabs, Inc.) and glutathione reductase activity (Abnova, UK) were 261 262 performed by enzyme assay kits. Catalase activity was determined by following Aebi method (Aebi, 1984): 60µL serum was mixed with 1mL of 263 peroxide hydrogen 3% (w/v) diluted in phosphate buffer (50Mm, pH 7). 264 Finally, total protein was assayed by Bradford method (Bradford, 1976). 265

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267 **2.6.** Statistical data analysis

Results were expressed as a mean ± standard deviation (SD). Statistical
analysis was performed using one way analysis of variance (ANOVA)
following by Bonferroni *post hoc* analysis with computer software SPSS 22.0.

- A p-value < 0.05 and p < 0.01 were considered significant and very significant,
 respectively
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274 **3. Results**

275 **3.1.** Preparation and characterization of nanohydrogels

Nanohydrogel drug content studies showed a loading capacity of $47.4 \pm 5.4 \mu g$ PTX/mg NG, and an encapsulation efficiency of 48.5%. Besides, zeta potential evaluation revealed anionic surface in both unloaded and drug loaded nanohydrogels (-2.75 mV in unloaded NHA 80/15/5-CBA NG and -18.11 mV in PTX-loaded NHA 80/15/5-CBA NG).

281 **3.2.** *In vivo* antitumor efficacy studies

In order to evaluate the safety of the treatments, body weight was monitored
during the period of experiment. Results showed that body weight of all animals
increased normally with age (Fig. 1), with no differences among groups.
Moreover, no significant changes were found in organosomatic index in any of
the animal groups (Fig. 2).

Tumor volume was measured every two days until day 39 in order to evaluate the tumor evolution (Fig.3). Neither toxicity-induced death nor complete tumor growth regression was observed in any experimental group.

As shown in Fig.3, tumor volume increased rapidly when the mice were treated with saline serum or unloaded NHA 80/15/5-CBA nanohydrogels. Little differences were found between the tumor evolution of these two groups. Tumor growth rate (Table 1) showed two different growth kinetic phases, with a good

correlation value (r^2) . During the first stage (14-30 days), a slower tumor growth 294 rate was observed (21.9-42.6 mm³/day for control and unloaded-NG groups, 295 respectively), with a tumor growth ratio of 1.7-3.3 respectively. During the 296 second stage (30-39 days), a rapid tumor growth of 94.5-58.5 mm³/day was 297 seen, which corresponds to 7.3-4.5 tumor growth ratios. In the case of tumors 298 treated with PTX (free PTX and PTX-loaded nanohydrogels) significant 299 300 differences (p<0.01) were obtained when compared with control saline group (Fig.3). Additionally, the antitumor activity of PTX-loaded nanohydrogel 301 treatment was higher than the observed in free PTX treated mice (Fig.3), which 302 303 was in accordance to tumor growth rate and ratio. As observed in Table 1, 304 tumor growth rate showed two different stages, with a good correlation value (r²), where free PTX group demonstrated a more rapid tumor growth (33.0 305 mm³/day at the first stage and 36.9 mm³/day at the second stage) than in PTX-306 loaded NG group (20.6 mm³/day at the first stage and 8.01 mm³/day at the 307 second stage). Moreover, tumor growth at the second stage was the slowest 308 growth rate during the whole experiment, with a tumor growth ratio of 0.6. 309

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311 3.3. Tumor histology and Immunohistochemistry Studies

To further evidence the observed anticancer activity, the tumor tissues of mice either untreated or treated were subjected to histological observation, employing the hematoxylin-eosin (Fig. 4), the toluidine blue and the alcian blue hemalum picroindigo (trichromic) methods (Fig. A.1)

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As it can be observed in Fig. 4, the epithelial-like cortex of the untreated 317 and unloaded nanohydrogels-treated tumors (Fig. 4 a-d) was composed of 318 poorly cohesive heterogeneous cells, mostly polyhedral in shape, with 319 a large nucleus/cytoplasm index. In these images, some nucleus revealed a 320 dispersed chromatin and prominent nucleoli, although mitosis was also 321 observed. Moreover, images showed a low presence of connective tissue 322 323 and some necrosis areas. An extensive lymphocyte infiltration into tumor tissue was also shown. 324

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Otherwise, differences in tumor histology could be observed in the tumor cells of the epithelial-like cortex of PTX-loaded nanohydrogels treated mice, as well as free PTX treated animals. First, Figs. 4e-h images showed an increase in the amount of defective mitotic figures, observed as hyperchromatic objects (yellow circles) and several apoptotic bodies (black square), and necrosis areas (red arrow). In addition, the tissue seems to be more retracted and higher disorganized than untreated tumors (Fig. 4e and g).

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334 Additionally, in order to differentiate proliferating cells remaining in the tumor tissue from dead cell populations, Ki-67 cell proliferation assay was carried 335 out by immunohistochemical staining of the tumor sections (Fig.5). Thus, 336 the presence of the antigen was visualized using a fluorescence dye 337 (fluorescence microscopy). As it is shown in Fig. 5E and F, the highest 338 339 fluorescent signals of Ki67 antigen were obtained from tumors treated with 340 free paclitaxel, which was similar to that obtained in untreated and unloaded treated tumors (Fig. A.2). In contrast, very low fluorescent signal was 341 14 observed in case of PTX-loaded

nanohydrogel samples (Fig.5 B and C). This result means a lower presence of
the antigen in these tissues, even in the blood vessel surrounding area, and
therefore an enhanced antitumor activity efficacy of the drug.

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346 3.4. Blood biochemistry analysis and Oxidative Stress

347 Potential pathological lesions in liver and kidney induced by the administration 348 of nanohydrogels can be characterized by an increase in biochemical parameters including the liver and the kidney function markers. Thus, various 349 biochemical serum parameters were tested from both treated and untreated 350 animals. As shown in Table 2, no significant differences (p < 0.05) were obtained 351 among control group and nanohydrogel treatments in AST, ALP, BUN and CRE 352 levels. Nevertheless, a significant increase (p <0.05) of ALT activity was 353 observed in free-PTX treated animals, when compared with control group and 354 PTX-NHA 80/15/5-CBA treatment. Furthermore, a significant decrease (p 355 <0.05) in creatinine levels was observed in free PTX treated mice, when 356 compared with control group and PTX-NHA 80/15/5-CBA treatment. 357

Otherwise, oxidative stress is proposed as one of the most important 358 mechanisms for nanotoxicity. Thus, to evaluate a possible association among 359 oxidative response with nanohydrogels injection, different antioxidative 360 parameters were evaluated in liver, kidney and spleen (Fig.6). Results obtained 361 from antioxidant defenses analysis in the liver revealed a significant decrease 362 (p< 0.05) in superoxide dismutase (SOD) and catalase activities (CAT) in PTX 363 solution and PTX-NHA 80/15/5-CBA treatment groups. In the case of kidney, 364 365 assays revealed similar results between all treatments in SOD and CAT

activities. However, a significant increase (p< 0.05) in GSSG-R activity was observed in spleen samples extracted from PTX-loaded NG mice, comparing with control group. Moreover, a significant increase in total glutathione content (GSSG/GSH) was also observed in free PTX and PTXloaded NG groups at kidney samples.

371 **4. Discussion**

Controlled drug deliverv systems release bioactive 372 agents at predetermined rates for predefined periods of time and have been used to 373 overcome the shortcomings of conventional drug formulations (Qiu and 374 Park, 2012). As observed in our previous in vitro work (Perez et al., 2014), 375 NHA 80/15/5-CBA nanohydrogels may be used as potential carriers for PTX 376 377 delivery in order to increase its solubility and reach a pharmacological 378 concentration, avoiding the side effects of the conventional formulation (Reul et al., 2011). In vitro characterization showed nanoscale spherical 379 hydrogels (in the size range of 10-80 nm at physiological conditions). In vitro 380 drug release studies revealed an incomplete PTX release from those 381 nanohydrogels along the first 50 hours, with a maximum PTX release of 382 58.8% (19.74 \pm 2.39 µg/mg NG). Two different stages were observed during 383 this period: a rapid drug release within the first 5h and a more controlled drug 384 release in the last 45h of the experiment. In this previous work (Perez et al., 385 2014), a tight dependence between the amounts of the drug released from 386 particles and the pH and GSH medium levels was observed. In a release 387 medium without GSH, results showed a more rapid drug release at pH 5 388 389 (1.39 µg PTX/h) due to electrostatic repulsion between a protonated amino group of HEAA monomer, resulting in nanohydrogel swelling 16

390 and, therefore, a faster drug release. Nevertheless, the most rapid PTX release was obtained in an intracellular GSH concentration medium (K value between 391 1.86 μ g PTX/h –2.15 μ g PTX/h). These data revealed that this accelerated PTX 392 release from nanohydrogels might be due to the reduction of crosslinking agent 393 394 (CBA) disulfide linkages by GSH content. Here, we evaluate the safety and the effectiveness of those nanosystems in vivo in a xenograft tumor model. Results 395 396 indicated there were no significant differences in body weight changes among the experimental groups during the experimental time period (Fig.1). The mice 397 receiving PTX-loaded NHA 80/15/5-CBA nanohydrogels showed similar body 398 399 weight curves to the saline-treated mice, which implied that NHA 80/15/5-CBA 400 nanohydrogels did not have severe toxicity in regards to body weight changes. 401 Besides, organosomatic index results (Fig.2) also demonstrated no significant 402 differences among treatments, which may be interpreted according to the safety and effectiveness of these nanosystems in the tumor treatment. Moreover, 403 those results were also in accordance to zeta potential analysis results, which 404 revealed a negative surface charge. As several authors demonstrated (Mayer et 405 al., 2009; Sharifi et al., 2012), anionic surface seems to be less hematotoxic 406 than cationic surface particles, as positively charged particles showed higher 407 affinity to the negative phospholipid head groups or protein domains on cell 408 membranes (Goodman et al., 2004). 409

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In order to confirm the anti-tumor effect of PTX-loaded nanohydrogels, the mice
 were treated with nanohydrogels and free PTX at a single dose of 30mg/kg. As
 expected, no significant tumor regression was observed in the placebo treated group confirming that unloaded NHA 80/15/5-CBA nanohydrogels do
 not have

anti-tumor activity (Fig.3). Moreover, tumor growth rates and tumor growth ratio 415 of unloaded nanohydrogels group demonstrated a rapid evolution of the 416 tumor at the final stage, which was similar to control saline group (Table 1). 417 In our study, tumor growth rates and ratios were lower in PTX-loaded 418 nanohydrogel group than in free PTX group during the whole experiment (Table 419 1). When PTX was freely administered in solution, tumor seemed to stop 420 421 growing during the first stage (14-30 days), as observed when tumor growth rate (33.0 mm³/day) was compared with tumor growth rate of unloaded-NG 422 group (42.6 mm³/day); however, the antitumor activity of free-PTX was not 423 424 effective enough to cause the stabilization of tumor growth. After the day 30, 425 tumors treated with free PTX recovered its capacity of proliferation. reaching a tumor growth rate (36.9mm³/day) similar to those obtained 426 at the first stage in control and unloaded-NHA 80/15/5-CBA NG groups. In 427 addition. tumor growth suppression was observed in PTX-loaded 428 nanohydrogel group (Fig.3; Table 1) in the last nine days of the experiment 429 (from day 30 to day 39), as expected for sustained and controlled drug release 430 in the targeted tumor sites and tumor cells. These results could be explained 431 by the rapid degradation of free PTX within the tumor tissue site by hydrolytic 432 process (Iqbal et al., 2011), while PTX loaded in nanosystems was 433 protected from hydrolytic degradation, maintaining drug concentration 434 within the therapeutic window (Zhang et al., 2013). These results were in 435 accordance to Chun et al. studies (Chun al., 2009), 436 et and 437 demonstrated that PTX-loaded nanohydrogels require more time for cellular 438 uptake and drug release than free PTX for effective tumor inhibition, and 439 for minimal systemic side effects over a prolonged time period (over a month).

These in vivo antitumor effects confirmed that PTX-loaded nanohydrogels could 440 improve the chemotherapeutic efficacy of free PTX, according to Shim et al. 441 (Shim et al., 2007) study, where PTX was encapsulated in pH and temperature 442 hydrogels and subcutaneously injected into C57BL/6 male mice at 25 and 50 443 mg/kg PTX dose. This improved antitumor effect may be explained by the 444 increasing drug residence at the target site due to the high cellular uptake and 445 intracellular stability, as demonstrated in previous studies (Perez et al., 2014). 446 Once the drug vehicle reaches the cell, the particle is shuttled from the early 447 endosome to the late endosome and finally the lysosome for degradation 448 (Steichen et al., 2013). Throughout this pathway the pH decreases from 7.4 to 449 450 approximately 5.0, leading to the expansion of these cationic nanogels, due to 451 the charge repulsion between neighboring protonated amine groups of HEAA monomer as observed in our previous work (Perez et al., 2014). Consequently, 452 a faster drug release was obtained. Additionally, contained within the 453 intracellular components are enzymes and GSH molecules that aid in foreign 454 body degradation (Steichen et al., 2013). Moreover, PTX was mainly released 455 from these nanocarriers once CBA crosslinking hydrogel desintegration 456 occurred, by disulfide linkages reduction in the presence of GSH, which means 457 a reduction of side effects by targeting to disease site and target cells. 458

Otherwise, particles in the size range of 20–200nm, such as these nanohydrogels (10–80 nm in the collapsed state) (Perez et al., 2014), could easily extravasate through endothelial pores (50–100 nm in size) and accumulate inside the interstitial space for a long time, due to the inefficient lymphatic drainage observed in the solid tumors (Kwon et al., 2008; Steichen et al., 2013). This size also provides increased diffusivity, biodistribution, absence

of immunogenicity, and the ability to target specific tissues with minimaldistribution to other tissues (Moghimi et al., 2001).

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Histological analysis, using both routine and immunohistochemical staining 468 methods, is a common procedure for obtaining pathological information (Fig. 4). 469 Tumor sections from PTX-loaded nanohydrogels treated mice and free PTX 470 treated mice showed a high density of defective mitotic cells, apoptotic bodies, 471 as well as necrosis areas. These results were in accordance to the microtubule 472 depolymerization process carried out by taxanes. Microtubules 473 are 474 heterodimeric a/β-tubulin filaments implicated in diverse cellular functions 475 beyond cell division, such as growth, motility, the development and maintenance of cell shape, and the trafficking of vesicles, organelles, and 476 proteins (Andreopouloua and Muggia, 2008). Paclitaxel acts to stabilise 477 microtubules, causing the cell cycle arrest at the G2/M checkpoint of mitotically 478 active cells (Kumar et al., 2015) as showed in our previous work (Perez et al., 479 2014); thereby it inhibits cell replication, which in turn results in apoptosis 480 (Panchagnula, 1998), according with the results obtained from free PTX and 481 482 PTX-loaded nanohidrogels (Fig. 4). Furthermore, recent studies from glioma stem cells after PTX treatment showed that polymorphic nuclei signs such as 483 the presence of defective mitotic figures, uncondensed chromatin threads, 484 chromosome fragmentation and de-condensed chromosomes were time-485 dependent (Riva et al., 2014). This mitotic activity is a crucial parameter to 486 487 measure the aggressiveness of a tumor and it is therefore associated with 488 important clinical implications. Thus, various biological parameters are routinely 489 studied for their ability to predict responses to anticancer drugs, including the

nuclear protein Ki67, which has demonstrated strong prognostic effects and has 490 been predictive of a greater response to most chemotherapies (Tozuka et 491 al., 2013). Our results revealed a lower presence of this tumor proliferation 492 indicator in PTX-loaded NHA 80/15/5-CBA nanohydrogel treated tumors, 493 compared with untreated or free PTX treated tumors (Fig. 5). These 494 results, together with tumor evolution analysis suggested that PTX-495 loaded NHA 80/15/5 CBA nanohydrogel was the most effective treatment. 496 Moreover, our water-soluble PTX-nanohydrogel formulation is Cremophor-497 free. The same conclusions were obtained from a study by Shim et al. 498 499 (Shim et al., 2007) where PTX-loaded block copolymer subcutaneous 500 injectable hydrogel could effectively suppress the tumor proliferation, as 501 revealed immunohistological apoptotic cells detection. Also, Liu et al. (Liu et al., 2008) showed similar results after intravenous injection of 502 PTX-loaded carbon nanotubes (5 mg/kg) in 4T1 tumor-bearing Balb/c mice. 503

504

Most of the injected nanoparticles are taken in and eliminated by 505 mononuclear phagocyte system (MPS), including liver and kidney tissue 506 507 (Li and Huang, 2008.). Moreover, drug biodistribution results obtained from different research groups (Kim et al., 2001; Liu et al., 2008; Wang et al., 2013; 508 Zhang et al., 2008) demonstrated PTX was mainly distributed in spleen, 509 kidney and liver tissues and the most antitumor drugs affect not only rapidly 510 dividing cells such as those in tumors, but also those in highly proliferative 511 512 normal tissues (Lia et al., 2009). Thus, various biochemical parameters and 513 antioxidant defenses were tested in order to analyze potential pathological 514 lesions in those organs induced by the injection of NHA 80/15/5-CBA 21 nanohydrogels. Results from biochemical

blood parameters (Table 2) revealed no liver or kidney damage in unloaded 515 nanohydrogel treated mice and PTX-loaded treated nanohydrogel mice, as 516 ALT, AST, ALP, BUN and CRE levels were similar to those obtained from 517 control-saline group and in good agreement with the reference normal ranges 518 for mice (Chen et al., 2003; Pagel et al., 2003; Wang et al., 2013). Similar 519 conclusions were obtained by Wang et al. studies (Wang et al., 2013) where 520 PTX loaded PEGylated poly(-caprolactone-co-L-lactide) micelles were 521 intravenously injected in S180 tumor-bearing mice at different PTX dosage (10, 522 20 and 30 mg/kg). In contrast, free PTX-treated mice showed a significant 523 524 increase of alanine aminotransferase activity (ALT) and a significant decrease 525 of creatinine blood level. These results may indicate liver and kidney damage 526 after free PTX injection and were in accordance with Mo-ying et al. studies (Moying et al., 2004), which revealed liver injuries in S-180 mice after PTX injection. 527 Authors also observed that the mechanisms of the liver injuries are correlated 528 with oxidative after administration 529 stress of the drug. mentioned little amount of subcutaneously 530 As above. а these administrated nanohydrogels, as well as their residual 531 bodies, may extravasate through endothelial pores (50-100 nm in size) and achieve MPS 532 organs, such as liver, kidney or spleen. Therefore, in the present study, 533 oxidative stress response was also evaluated after nanohydrogels injection 534 (Fig. 6). Oxidative stress occurs when there is an excess of free radicals 535 in the body, which are constantly produced in normal physiological 536 537 conditions during metabolic processes in all living species (Sharifi et al., 538 2012). Nevertheless, an excessive reactive oxygen species (ROS) 539 accumulation will lead to cellular injury, ending up in many physiological 22 problems, such as ageing, asthma, arthritis, diabetes, cancer,

inflammation and cardiovascular disease (Oberdörster et al., 2005). To prevent 540 that, tissues have developed an antioxidant defense system that includes 541 non-enzymatic antioxidants such total glutathione content (GSSG/GSH) 542 and enzymatic activities like SOD, CAT and GSSG-R (Simmons, 1984). Our 543 results revealed lower activity levels of SOD and CAT in the liver of 544 subcutaneous administered free PTX solution group and in the PTX-loaded 545 nanohydrogels treated group, in comparison to control group, indicating 546 higher level of oxidative stress due to PTX injection (Fig. 6). Similar results 547 were obtained by Kalaria et al. (Kalaria et al., 2009), where doxorubicin 548 549 solution as well as doxorubicin-loaded PLGA nanoparticles were orally 550 and intravenously administrated in female Sprague–Dawley rats. Thus, significant changes on SOD and CAT activities in the liver of athymic 551 mice after PTX solution and PTX-loaded nanohydrogels injection were 552 observed. SOD scavenges O2 - anion (which is the first product of 553 oxygen radicals) to form H_2O_2 and, therefore, diminishes the toxic effects due to 554 the free radicals derived from secondary reactions. Besides, the O2^{-*} anion 555 inactivates CAT activity, which is a major determinant of hepatic anti-556 oxidant status, involved in detoxification of high H₂O₂ concentrations (Zhang 557 and Kwong-Huat, 2000). Thus, a low SOD activity means a decrease in 558 CAT function, as observed in our results. Moreover, the decrease observed in 559 SOD activity could be due to a damage of enzymatic structure, function 560 or enzymatic gene expression (Szymonik-Lesiuk et al., 2003). The liver is 561 562 involved in numerous metabolic, immunological and endocrine functions with 563 high blood vasculature. Despite the fenestration size depends on the animal 564 species, it is known that sinusoidal fenestrae in C57BL/6 mice are 141 nm 23 size (Jacobs et al., 2010),

which allows unrestricted passage of plasma components and nanohydrogels 565 (10-80 nm size range at physiological conditions) to the perisinusoidal space 566 ,where the cords of hepatocytes are situated (Bertrand and Leroux, 2012). 567 Inside the sinusoid capillaries, nanohydrogels could be phagocyted by the 568 Kupffer cells, via the recognition of opsonins on the nanohydrogels surface, 569 using fluid phase pinocytosis or endocytosis, due to their small size (Champion 570 et al., 2008; Petros and DeSimone, 2010). After ingestion, phagocytic vesicles 571 (phagosomes) coalesce with intracellular organelles containing digestive 572 proteins and an acidic internal pH, which may suppose a faster PTX release 573 574 from nanocarriers according to the cationic response of these nanohydrogels 575 (Perez et al., 2014) and the desintegration of the internalized polymeric 576 nanohydrogels. After desintegration, these nanosytems could be eliminated by exocytosis or sequestered in residual bodies within the cell if it cannot be 577 digested (Bertrand and Leroux, 2012). 578

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Besides, these drug delivery systems containing disulfide linkages such as N, 580 N'-cystaminebisacrylamide (CBA) can be reduced by glutathione molecule, as 581 in vitro studies demonstrated (Perez et al., 2014). In the present study, a 582 significant increase in GSSG-R activity was observed in spleen samples after 583 the treatment with PTX-loaded nanohydrogels (Fig. 6). Glutathione reductase 584 (GSSG-R) is involved in the maintenance of glutathione in reduced form (GSH) 585 by catalyzing the reduction of GSSG to GSH in the presence of NADPH (Zhang 586 587 and Kwong-Huat, 2000). As described above, the resulting GSH helps protect 588 cells from free radical damage by acting as an antioxidant non enzymatic agent. 589 In addition, an important part of the produced GSH content could disrupt

590 crosslinking agent (CBA) by reducing disulfide linkages of nanogel structure, as 591 observed in our previous *in vitro* studies (Perez et al., 2014). As 592 a consequence, glutathione reductase activity increases to maintain the 593 normal GSSG-GSH counterbalance.

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Finally, kidney samples showed a significant increase of total 595 glutathione content (GSSG/GSH; Fig.6) in PTX-treated animals (free PTX and 596 PTX-loaded nanohydrogels). As other authors observed before (Mohamed 597 et al., 2014; Valko et al., 2006), oxidative stress response could induce an 598 599 increase of total glutathione content by increasing its synthesis. These 600 results could be explained by the kidneys function, as they are responsible 601 for blood filtration. This occurs through the glomerular capillary network of the alomerulus, one of its components is the highly fenestrated endothelium. The 602 fenestration size (60 to 80 nm) makes difficult most of particulate drug delivery 603 systems to be filtered; consequently they could be retained (Bertrand and 604 Leroux, 2012) modifying the normal counterbalance of ROS production and, in 605 this way, the total glutathione content. 606

607 **5. Conclusions**

Nowadays, paclitaxel administration is associated with several side effects such as hypersensitivity reactions, nephrotoxicity and neurotoxicity. In this study, we have investigated the antitumor effect of PTX-loaded nanohydrogels to overcome those side effects from conventional formulation. The results showed that a single dose of PTX (30 mg/kg) when administered in NHA 80/15/5-CBA stimuli-responsive nanohydrogels, led to significant enhancement of

614 tumor growth suppression and cellular apoptosis in sensitive HeLa xenograft mice

- model, with less toxicity than free PTX administration, as measured by changes in blood biochemistry analysis. Based on these results, systemic administration of PTX in biodegradable polymeric nanohydrogels delivery system could be considered as a very effective strategy to be evaluated in further *in vivo* assays in order to achieve the necessary therapeutic effect of PTX, and overcome drug
- 621 resistance in cancer patients.

622 6. Acknowledgements

- ⁶²³ This work has been supported by MAT-2010-21509-C03-03 and UCM-
- 624 Banco Santander Research Groups Ref. GR3/14 (UCM-Group 920613).
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Treatments	T(days)	Tumor growth rates (r ²)	Tumor growth ratio
Control	14-30	21.9 (0.912)	V2/V1= 1.7
Control	30-39	94.5 (0.989)	V3/V1= 7.3
	14-30	42.6 (0.969)	V2/V1= 3.3
Officialed NHA 80/15/5-CBA	30-39	58.5 (0.959)	V3/V1= 4.5
	14-30	33.0 (0.985)	V2/V1= 2.6
	30-39	36.9 (0.947)	V3/V1= 2.9
	14-30	20.6 (0.900)	V2/V1= 1.6
	30-39	8.01 (0.956)	V3/V1= 0.6

V₁ represents tumor growth rate before PTX treatment; V₁ = 12.9 mm³/day. V₂ represents tumor growth rate among the day 14 to 30.

V3 represents tumor growth rate among the day 30 to 39.

Table 1. Tumor growth rate (mm^3/day) and tumor growth ratio. T (days) indicates the time, in days, considered in each analyzed phase. Two different stages were observed (stage I: from day 14 to day 30; stage II: from day 30 to day 39) along the tumor evolution experiment period.

Treatment	AST (U/L)	ALT (U/L)	ALP (U/L)	BUN (mg/dL)	CRE (µmol/L)
Control	244.5 ± 48.8	31.7 ± 2.4	113.0 ± 33.7	18.7 ± 0.2	53.4 ± 2.6
Free PTX	294.9 ± 31.1	84.4 ± 7.9*+	168.8 ± 31.0	21.5 ± 2.8	$27.1 \pm 2.6^{*+}$
Unloaded NHA 80/15/5- CBA	178.3 ± 44.7	23,3 ± 4.7	154.7 ± 58.4	19.2 ± 3.3	47.9 ± 2.3
PTX-NHA 80/15/5-CBA	157.2 ± 21.2	39.7 ± 13.3	160.5 ± 40.1	18.7 ± 2.8	36.9 ± 3.5

All data are the mean \pm SD (n=6).

* P < 0.05 when compared with the normal saline (control group).

⁺ P< 0.05 when compared with PTX-NHA 80/15/5-CBA.

Table 2. Biochemical blood analysis of female athymic nude mice bearing HeLa human tumor xenografts after treatments: untreated (control) and treated with unloaded-NHA 80/15/5 CBA, PTX-NHA 80/15/5-CBA and free PTX at the dose of 30mg/kg. AST: aspartate aminotransferase; ALT: alanine aminotransferase; ALP: alkaline phosphatase; BUN: blood urea nitrogen and CRE: creatinine.



Figure 1. Mean body weight change in female athymic nude mice bearing HeLa human tumor xenografts treated with either saline, unloaded nanohydrogels, PTX-loaded nanohydrogels or PTX at a dose of 30 mg/kg subcutaneously injected (dark arrow). Each point represents mean \pm SD (n=6).



Figure 2: Organosomatic index of the organs extracted from female athymic nude mice bearing HeLa human tumor xenografts after the different treatments. All data are the mean \pm SD (n=6).



* p < 0.01 when compared with the normal saline.

Figure 3. Antitumor effect of unloaded nanohydrogels (NHA 80/15/5-CBA), PTX-loaded nanohydrogels (PTX-NHA 80/15/5-CBA) and free PTX in female athymic nude mice bearing HeLa human tumor xenografts. Two weeks after subcutaneous HeLa injection, treatments were injected subcutaneously in a single dose (black arrow). Untreated control received saline serum injection; the treatments groups consisted in unloaded nanohydrogels, PTX-loaded nanoparticles and free PTX (considering PTX concentration 30 mg/kg). All data are the mean \pm SD (n=6).



Figure 4. Histological appearance of the epithelial-like cortex of HeLa cell untreated tumor (a-b), unloaded NHA 80/15/5-CBA nanohydrogels treated tumor (c-d); PTX-loaded NHA 80/15/5-CBA nanohydrogels treated tumor (e-f) and free PTX treated tumor (g-h), using the hematoxylin-eosin staining method (magnifications 10x and 40x). Yellow circles indicate the presence of cells in different stages of mitotic division. Arrows indicate the presence of necrosis areas and squares show the presence of apoptotic bodies. Yellow bars represent 200 µm.



Figure 5. Representative images of the immunohistochemical detection of Ki-67 antigen (proliferation assay) in HeLa xenograft tumor samples using the fluorescent method: PTX-loaded nanohydrogel treated tumor (A-C) and free PTX treated tumor tissues (D-F). In blue is represented the nuclear staining with DAPI. In red, the nuclear fluorescent signal due to the presence of Ki-67 proliferating antigen. Overlay indicates the visualization of both co-staining images. Arrows indicate the presence of some Ki-67 positive nuclei in tumor tissues. White bars represent 100µm.



All data are the mean \pm SD (n=6). * p < 0.05 when compared with the normal saline (control group).

Figure 6: Antioxidant determinations from liver, kidney and spleen of female athymic nude mice bearing HeLa human tumor xenografts after treatments. (a) superoxide dismutase activity (SOD); (b) catalase activity (CAT); (c) glutathione reductase activity (GSSG-R) and (d) total glutathione content (GSSG/GSH).

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<u>Highlights</u>

- PTX-loaded NG enhanced tumor growth suppression in Hela xenograft models.
- PTX-NG treated tumors showed apoptotic bodies and several mitotic figures.
- PTX-NG treated tumors revealed a lower presence of Ki 67 proliferation marker.
- Biochemical data showed no kidney or liver damage after PTX-NG injection.
- PTX (30 mg/kg) modified antioxidant defenses in liver, kidney and spleen.



Figure 5. Representative images of the immunohistochemical detection of Ki-67 antigen (proliferation assay) in HeLa xenograft tumor samples using the fluorescent method: PTX-loaded nanohydrogel treated tumor (A-C) and free PTX treated tumor tissues (D-F). In blue is represented the nuclear staining with DAPI. In red, the nuclear fluorescent signal due to the presence of Ki-67 proliferating antigen. Overlay indicates the visualization of both co-staining images. Arrows indicate the presence of some Ki-67 positive nuclei in tumor tissues. White bars represent 100µm.