

“Extracorporeal membrane oxygenation (ECMO) and beyond in near fatal asthma: a comprehensive review”

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authors name, affiliation and ORCID

Name: Lozano-Espinosa, María

Affiliation: Servicio de Medicina Intensiva, Hospital Universitario de Fuenlabrada, Fuenlabrada, Madrid, Spain

ORCID:<https://orcid.org/0000-0002-9563-3016>

Name: Antolín-Amérigo, Darío

Affiliation: Servicio de Alergia, Hospital Universitario Ramón y Cajal, Instituto Ramón y Cajal de Investigación Sanitaria (IRYCIS) Madrid, Spain.

ORCID:<https://orcid.org/0000-0001-5699-4022>

Name: Riera del Brío, Jordi

Affiliation: Servicio de Medicina Intensiva, Hospital Universitari Vall d'Hebron; SODIR, Vall d'Hebron Institut de Recerca, Barcelona, Spain.

ORCID: 0000-0002-1738-4448

Name: Gordo Vidal, Federico

Affiliation: Servicio de Medicina Intensiva, Hospital Universitario Henares, Coslada, Madrid, Spain.

Grupo de Investigación en Patología Crítica. Universidad Francisco de Vitoria. Pozuelo de Alarcón, Madrid, Spain.

ORCID:<https://orcid.org/0000-0001-9605-1918>

Name: Quirce, Santiago

Affiliation: Department of Allergy, La Paz University Hospital, IdiPAZ, Madrid, Spain

ORCID: <https://orcid.org/0000-0001-8086-0921>

Name: Álvarez Rodríguez, Joaquín

Affiliation: Servicio de Medicina Intensiva, Hospital Universitario de Fuenlabrada, Fuenlabrada, Madrid, Spain

ORCID: <https://orcid.org/0000-0002-2308-7239>

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“Extracorporeal membrane oxygenation (ECMO) and beyond in near fatal asthma: a comprehensive review”

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81 **Abstract:**

82 In severe asthma exacerbations with respiratory failure, the treatment of choice is ventilatory
83 support, both invasive and/or non-invasive, along with different kinds of medication. When t
84 conventional measures fail, rescue strategies, such as extracorporeal membrane oxygenation
85 (ECMO) or extracorporeal CO₂ removal (ECCO₂R) may need to be implemented. Of note, the
86 rate of mortality of patients with asthma has decreased substantially in recent years mainly
87 due to significant advances in pharmacological treatment and other management strategies.
88 However, the risk of death in patients with severe asthma who require invasive mechanical
89 ventilation has been estimated between 6.5% and 10.3% ECMO does not constitute a
90 treatment per se, but minimizes further ventilator associated lung injury (VALI) and enables
91 diagnostic-therapeutic maneuvers in the same patient that who without ECMO could not be
92 possible (such as bronchoscopy and transfer for diagnostic imaging). Asthma is one of the
93 diseases that is associated with excellent outcomes for patients with refractory respiratory
94 failure requiring ECMO support, as shown by the Extracorporeal Life Support Organization
95 (ELSO) registry. Moreover, in such situations, the use of ECCO₂R for rescue in Near-Fatal
96 Asthma (NFA) has been described and utilized in both children and adults and is more widely
97 spread in different hospitals than ECMO. In this article we aim to review the usefulness of
98 these extracorporeal respiratory support measures in the management of near fatal asthma
99 (NFA).

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1. Background

1.1. Introduction

Patients with asthma exacerbations requiring respiratory support would benefit from being in an intensive care unit (ICU) to facilitate escalation of organ support. The vast majority of such patients can be stabilized with non-invasive respiratory support. However, in very severe cases, invasive mechanical ventilation may be needed. Approximately 2%–4% of all patients hospitalized for acute asthma require invasive mechanical ventilation.[1–3] When those severe asthma exacerbations are refractory to the traditional management strategies, further rescue strategies may have to be implemented, including extracorporeal membrane oxygenation (ECMO) or extracorporeal carbon dioxide removal (ECCO2R). Serrano-Pariente et al [4] reported that, in 2005, 225,000 people worldwide died as a result of their asthma, increasing to 428,000 deaths annually by 2030.[5] The risk of death in patients with severe asthma who require invasive mechanical ventilation has been estimated between 6.5% and 10.3%.[1,2] In this article we aim to review the usefulness of these extracorporeal respiratory support measures in the management of NFA.

2. Methods:

2.1 Review question:

The scientific question for our review was: Would adults with near fatal asthma (NFA) would benefit from the use of extracorporeal membrane oxygenation (ECMO) or extracorporeal carbon dioxide removal (ECCO2R)?

2.2 Data sources and search strategy

We searched PubMed and EMBASE without time restrictions; articles in English were considered. The first search was carried out in September 2021 but additional searches have been performed in 2022. We included all relevant references until October 2022. The search strategy was:

("status asthmaticus"[mh] AND "near fatal asthma" AND "asthma" AND "extracorporeal membrane oxygenation" OR "ECMO" AND "extracorporeal carbon dioxide removal" OR "ECCO2R" OR "ICU" OR "intensive care" OR "acute respiratory failure") **(Figure 1)**

2.3 Inclusion criteria

We included randomised controlled trials, cohort or cross-sectional studies, or reviews and meta-analyses, also including real-world data experience. We excluded articles which did not meet the inclusion criteria above or those not related with asthma or acute respiratory failure.

2.4 Study selection

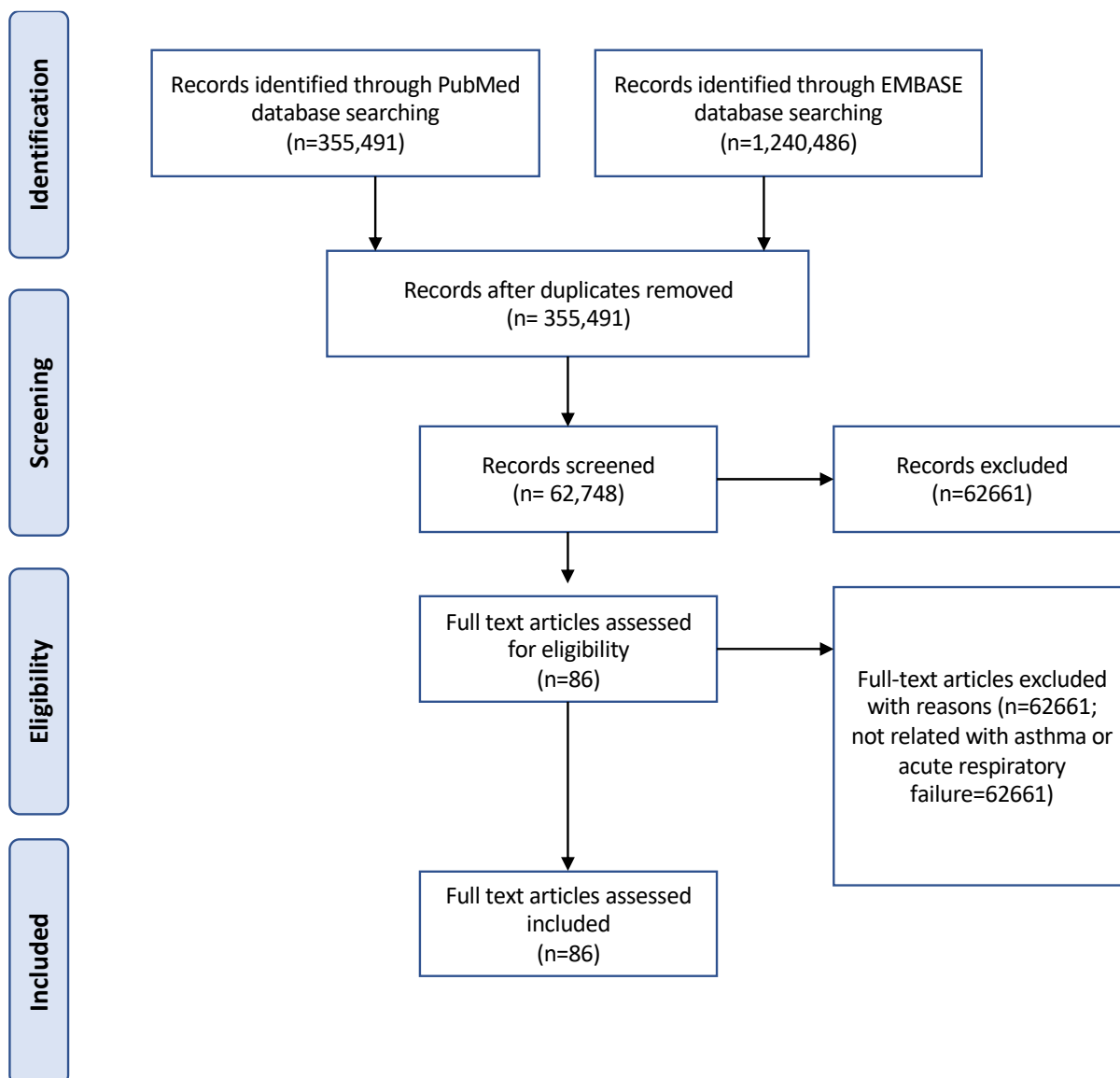
“Extracorporeal membrane oxygenation (ECMO) and beyond in near fatal asthma: a comprehensive review”

146 Both abstracts and manuscripts were assessed by two authors independently. Conflicting
147 selections were resolved by consensus.

148

149 **Figure 1. Flow diagram for article identification and selection**

150



151

152 **3. Near Fatal Asthma (NFA)**

153 **3.1 NFA: definition and characteristics:**

154 Near fatal asthma (NFA), life-threatening asthma or critical asthma syndrome [6–8] comprises
155 a subset of patients with asthma who are at increased risk for death from their disease. [9]

156 There are two predominant phenotypes of near-fatal exacerbations: "subacute" exacerbation
157 and "hyperacute" exacerbation. The best way to manage acute severe asthma is 3-7 days
158 before it occurs (i.e., at the onset of symptoms or change in respiratory function) and to
159 optimize asthma control by decreasing the number of symptomatic days and the days and/or
160 nights with use of rescue therapy.[4,9] It should be remembered that increasing the efficacy

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161 in the therapeutic management of NFA literally means to save lives and to avoid poor
162 outcomes.[10]

163

164 In terms of physiopathology, eosinophils present a widespread distribution within the
165 respiratory tract in fatal cases whereas neutrophils can participate in specific situations, such
166 as sudden-onset fatal asthma (asphyxiating asthma), mainly affecting the small airways.
167 Interestingly, studies have shown that both viral, and to a lesser extent bacterial agents, can
168 play a role, and co-infection may also be present and worsen prognosis in hospitalized
169 patients, placing a portion at risk for critical asthma syndrome.[11] Viral-mediated
170 inflammatory pathways (acute or quiescent) are also implicated in NFA and occur in as much
171 as 50% of patients.[12] Rhinovirus-C, respiratory syncytial virus and influenza A, appear to be
172 the most prevalent and recurring threats. [11,13–15] The association of fungal isolates with
173 NFA is a novel finding but consistent with the association of these organisms in acute
174 asthma.[16,17] These observations suggest the possibility of defective antifungal and/or
175 antiviral immune pathways in these patients.

176

177

178 Although episodes of NFA (and asthma-related deaths) are more common in patients with
179 severe asthma, patients with mild and moderate asthma can also suffer from NFA. The EAGLE
180 (Estudio del Asma Grave en Latinoamérica y España) project, a case-control study in which
181 2593 clinical records of patients with asthma with an exacerbation episode requiring
182 hospitalization were analyzed, showed that up to 4.9% of patients with mild–moderate
183 asthma required ICU admission, 2.1% intubation and mechanical ventilation, 1.3% had
184 cardiopulmonary arrest and 0.4% died during hospital admission.[18]

185 From these data, the authors found that a greater percentage of patients without any formal
186 treatment for their asthma required ECMO (17% versus 4%).[3]

187

3.2 Risk factors for NFA

189 Some characteristics of patients with asthma may be risk factors for NFA are listed in **Table 1**:

190

191 **Table 1. Risk factors for NFA**

192	- History of ICU admission because of asthma exacerbation and the need of
193	mechanical ventilation(19)
194	- Previous treatment with oral steroids
195	- A greater blood gases impairment (hypercapnia and acidosis)
196	- Presence of pulmonary hyperinflation on admission
197	- Family history of fatal asthma
198	- Office visits
199	- Major psychiatric illness
200	- Refusal to take corticosteroids
201	- Noncompliance with prescribed medications

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- 202 - Fear of asthma
- 203 - Sleep apnea
- 204 - Refusal to remove an animal from the home

205
 206 Other risk factors for NFA in childhood are food allergy, poverty, residence in an urban area,
 207 race/ethnicity, longer duration of asthma, male gender and multiple emergency room visits
 208 in the year before an ICU admission. [4,20,21](see **table 1**)

209
 210 According to the genealogical index of familiarity, the relative risk of dying of asthma was 1.60
 211 in first-degree relatives of cases and 1.34 in second-degree relatives. In Western countries,
 212 asthma-related mortality is currently low. However, in young patients with asthma,
 213 uncontrolled asthma continues to be an avoidable cause of sudden death.[22–24]

214 A recent single center retrospective review of all asthma exacerbation admissions recorded
 215 400 NFA episodes between 2000 and 2010, found a mortality rate of 3.1%. In this particular
 216 study[25], no signs of a decreasing trend in asthma-related mortality for the decade analyzed
 217 was found.

3.3 Near-fatal asthma phenotypes

218
 219 Different profiles of patients with NFA based on demographic characteristics, triggers of
 220 asthma attacks, pathogenesis of the disease, comorbidities and clinical presentation of
 221 exacerbations have been described elsewhere (**Tables 2 and 3**). The knowledge and
 222 identification of their particular features have been crucial to design specific strategies to
 223 prevent further exacerbations.[4,26]
 224 [modified from [4,24]].

Table 2. Different NFA phenotypes

	Rapid-onset NFA	Slow-onset NFA
Characteristics of patients	Young males	Women
Triggers	Respiratory allergens Environmental pollutants Emotional stress NSAID intake	Respiratory tract infections
Clinical differences	Higher hypercapnia and acidosis Absence of lung sounds Generally a more rapid recovery	Higher number of previous emergency room visits and hospital admissions

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Histological findings	Airway permeability Greater tightening of the muscular layer Neutrophils exceed eosinophils in the airways submucosa Higher proportion of mast cell degranulation	Mucous plugs Lung hyperinflation Loss of airway epithelial layer Mucous gland hyperplasia Eosinophils exceed neutrophils in the airways submucosa
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228 NSAID: Non-steroidal anti-inflammatory drugs

229

230

Table 3. Characteristics of NFA phenotypes identified by cluster analysis

	Cluster 1	Cluster 2	Cluster 3
Patient characteristics	Older More frequent female Lowest level of education Frequent psychiatric comorbidity	Frequently current smokers Frequent psychiatric comorbidity	Younger More frequent male Frequently current smokers
Asthma characteristics	Late onset Very frequent severe persistent asthma Frequent regular medical care Very frequent past hospitalization for asthma	Intermediate position between cluster 1 and 3	Early onset Very infrequent regular medical care
Allergic and functional characteristics	At least one positive skin prick test:42% Sensitized to <i>Alternaria</i> or soybean:6 % FEV1 mean:69%	At least one positive skin prick test:71% Sensitized to <i>Alternaria</i> and soy bean:7% FEV1 mean:82%	At least one positive skin prick test:78% Sensitized to <i>Alternaria</i> and soy bean:26% FEV1 mean:81%
Therapeutic characteristics	ICS:91% Oral corticosteroids:21%	ICS:50%	ICS:30%

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NFA attack characteristics	Longer hospitalization	Frequent impaired consciousness level and respiratory arrest Very frequent mechanical ventilation required Highest hypercapnia	Shorter hospitalization
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231 ICS: inhaled corticosteroids

232 **4. ECMO: definition, function and outcomes**

233 **4.1 Structure, configuration and functional definition**

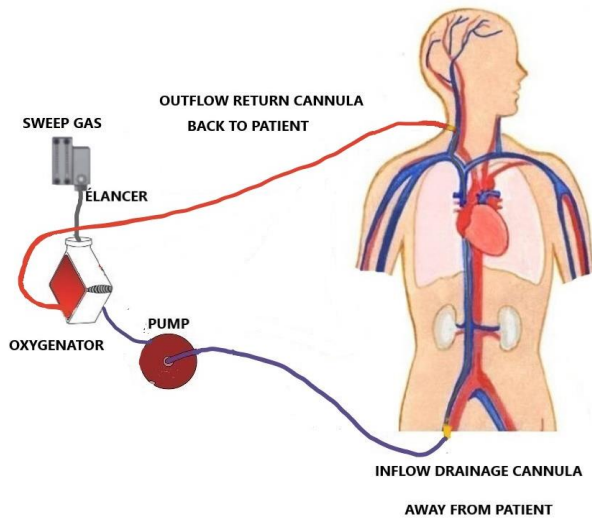
234 ECMO has different configurations. While its venovenous (V-V) configuration, is a form of
 235 extracorporeal respiratory support, allowing gas exchange to occur outside the body [27–29],
 236 the venoarterial (V-A) configuration can also provide circulatory support. Its structure is based
 237 on a centrifugal pump that generates blood movement and a gas interchanger (commonly
 238 known as oxygenator) that allows gas interchange via diffusion through a polymethylpentene
 239 membrane. Blood is drained from a central vein and returned to the same vein through a dual
 240 lumen catheter, or to another main vein (V-V) or artery (V-A) through a second cannula. The
 241 mechanism shows greater efficiency in extracting CO₂ (extraction relies on sweep gas flow
 242 entering the oxygenator) than adding O₂ (the increase of O₂ relies on ECMO blood flow).[2]
 243 ECMO, with its V-V configuration (**figure 2**), is increasingly used to manage adults with severe
 244 respiratory failure refractory to conventional measures [26,27]. Currently, it is used for viral
 245 pneumonia (including COVID-19), Acute Respiratory Distress Syndrome (ARDS), bacterial
 246 pneumonia, pulmonary contusion and other respiratory pathologies that lead to a clinical
 247 condition refractory to conventional measures, including as a bridge to transplant, if there are
 248 poor expectations of lung recovery [30–33]. ECMO does not constitute a treatment *per se*,
 249 but minimizes the mechanical power delivered to the lung [34,35].

250
 251 With its V-A configuration (**figure 2**) ECMO may help to stabilize patients with very severe
 252 hemodynamic instability due to reversible causes (cardiogenic shock due to acute myocardial
 253 infarction, myocarditis, pulmonary thromboembolism...). Further, V-A ECMO has also been
 254 used in the context of cardiac arrest with promising results.[36]

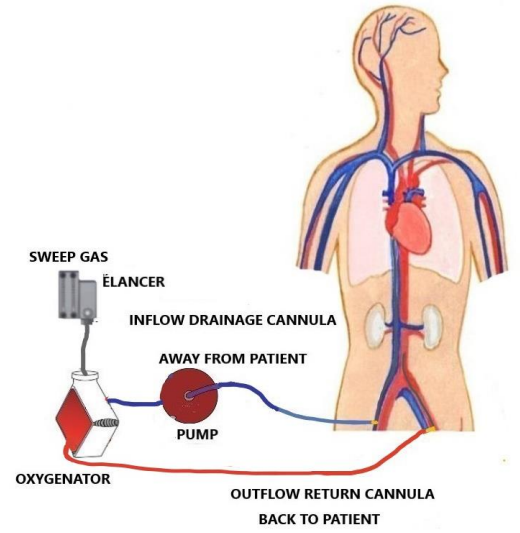
255
 256 **Figure 2. Veno-Venous and Veno-Arterial ECMO circuits.**

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V-V ECMO



V-A-ECMO



257

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4.2. Indications and contraindications of ECMO

259

260 A general summary of the indication would be a patient with a reversible condition that leads
261 to a critical situation that is worsening despite maximal conventional treatment. More specific
262 details of indication criteria are summarized in **table 4**.

263

Table 4. General criteria for initiating ECMO [modified from [30]]

265 ECMO should be considered when clinical deterioration continues in spite of optimal medical
266 therapy especially in the presence of the following parameters:

267

268

V-V ECMO:

269

- **Potentially reversible**

270

- **Severe:**

271

- PaO₂ / F_iO₂ < 60 mmHg with F_iO₂ > 0.8 over 3 hours despite rescue measures of refractory hypoxemia

272

- PaO₂ / F_iO₂ < 80 mmHg with F_iO₂ > 0.8 over 6 hours despite rescue measures of refractory hypoxemia

273

- Decompensated Hypercapnia (PaCO₂ > 80; pH < 7.25) over 6 hours despite rescue

274

measures

275

- **Refractory**

276

- Hypoxemia: PaO₂ does not increase over 20% after 12 hours of prone position

277

- Hypercapnia: Refractory to extracorporeal life support (ECLS)-Removal CO₂.

278

V-A ECMO

279

- **Potentially reversible**

280

- **Severe:**

281

- Systolic pressure < 90 mm Hg over 30 minutes, with cardiac index < 2.2 L/min/m².

282

- **Refractory:**

283

- Evidence of inadequate oxygen delivery in spite of conventional measures:

284

- Vasoactive drugs:

285

- Noradrenaline > 0.5 mcg /Kg /min.

286

- Dobutamine > 20 mcg /Kg /min.

287

- Intra-aortic balloon pump when indicated

288

289

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292

There are no absolute contraindications to ECMO, as each patient is considered individually

293

with respect to risks and benefits. [30] There are conditions listed below, however, that are

294

associated with a poor outcome despite extracorporeal respiratory support (ERS), and can be

295

considered relative contraindications:[37]

296

297

1. Mechanical ventilation at high settings (FiO₂ >0.9, P-plat >30) for 7 days or more.

298

Many centers do not consider time on ventilation a contraindication.

299

2. Major pharmacologic immunosuppression (absolute neutrophil count <400/mm³)

300

3. Central Nervous System (CNS) hemorrhage that is recent or expanding

301

4. Non-recoverable comorbidity such as major CNS damage or terminal malignancy

302

5. Age: no specific age contraindication but consider increasing risk with increasing age

303

6. Bleeding diathesis

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304 7. Contraindication to anticoagulation

305 8. Obesity (relative)

306 9. Multi organ failure

307

308

309 **4.3 Extracorporeal carbon dioxide removal (ECCOR)**

310

311 ECCO2R is a more recent strategy and is designed to remove CO₂, but, unlike ECMO, does not
312 provide significant oxygenation.[38,39] Essentially, ECCO2R consists of a drainage cannula
313 placed in a large central vein, a pump, a membrane lung and a return cannula, [40] or, more
314 frequent, a double lumen venous cannula (**figure 3**). Blood is pumped through the membrane
315 and CO₂ is removed by diffusion. In contrast to ECMO, where the need for oxygenation
316 requires high blood flow rates, ECCO2R could be done with much lower blood flow rates, a
317 result of major differences in CO₂ and O₂ kinetics. Any given amount of blood is capable of
318 carrying more CO₂ than O₂, and hence much lower blood flow rates can be utilized for CO₂
319 removal, typically in the order of 1 L/min. In addition, CO₂ diffuses more readily than O₂
320 across the extra- corporeal membrane because of greater solubility.[38]

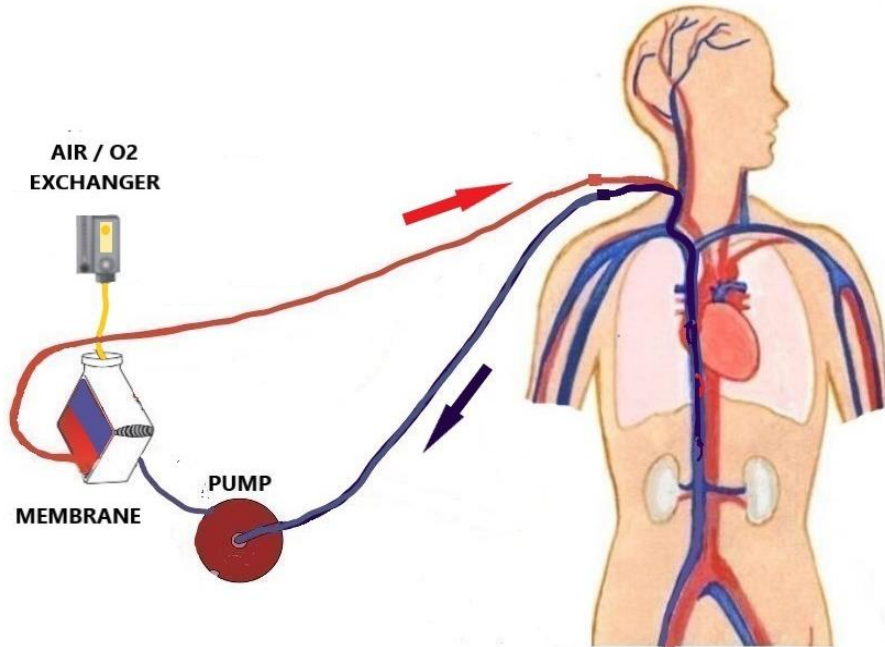
321 In a recent study, the REST Trial, which was designed to decrease both pressures and the risk
322 of damage subsequent to mechanical ventilation, among patients with acute hypoxemic
323 respiratory failure, the use of ECCO2R to facilitate lower tidal volume mechanical ventilation,
324 compared with conventional low tidal volume mechanical ventilation, did not significantly
325 reduce 90-day mortality. [41] The REST trial did not find significant mortality reduction,
326 although it did achieve adherence to lung protective ventilation it did so at the cost of
327 mandated IMV, neuromuscular blocking-agents and had serious adverse events such as
328 intracranial hemorrhage.[41] Therefore, nowadays these techniques are to be considered
329 only rescue measures. (**See Table 5**)

330

331

332 **Figure 3. ECCO2R system**

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Table 5. Differences of ECMO and ECCO2R [modified from [42]

Parameter	ECMO	ECCO2R
Oxygenation	✓	X
Ventilation (CO2 removal)	✓	✓
Hemodynamic support	✓ (minimal with V-V ECMO)	X
Blood Flow rates	High	Low
Need for anticoagulation	✓	✓
Cannula	Single or Dual	Single (dual for AVCO2R)
Complication rates	High	Usually Low

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Abbreviations: AVCO2R: arteriovenous carbon dioxide removal; ECCO2R: extracorporeal carbon dioxide removal; ECMO: Extracorporeal membrane oxygenation

5. Scientific evidence

5.1 Use of ECMO in NFA:

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346 The survival rate of patients with asthma needing ECMO support ranged from 83.5% of Yeo
 347 et al study to up to 95% of Warren study. [30,43] Yeo et al extracted data of the ELSO Registry
 348 from 272 patients with near fatal asthma refractory to mechanical ventilation who underwent
 349 ECMO between March 1992 and March 2016. [30,43] The mean time spent on ECMO was
 350 176.4 hours. Ventilator settings, including rate, fraction of inspired oxygen (FiO₂), peak
 351 inspiratory pressure (PIP), and mean airway pressure, significantly improved after ECMO
 352 initiation (**Table 6**)

353 **Table 6. Clinical parameters to mechanical ventilation**

	Pre-ECMO	Post-ECMO*
rate (breaths/min)	19.0 ±7.7	11.3 ±4.3
FiO ₂ (%)	81.2 ±23.0	48.8 ±19.0
PIP (cmH ₂ O)	38.2 ±11.1	25.0 ±7.8
PEEP, cmH ₂ O	8.3 ±5.9	8.1 ±4.5
mean airway pressure (cmH ₂ O)	21.4 ±14.2	14.2 ±10.0
driving pressure	29.5 ±12.9	16.8 ±7.8

354

355

356 *p<0.001

357 The weaning success rate was 86.7%, and the rate of survival to hospital discharge was 83.5%.
 358 The total complication rate was 65.1%, with hemorrhagic complications being the most
 359 common (28.3%). Other complications included renal (26.8%), cardiovascular (26.1%),
 360 mechanical (24.6%), metabolic (22.4%), infection (16.5%), neurologic (4.8%), and limb
 361 ischemia (2.6%). Of the hemorrhagic complications, cannulation site hemorrhage was the
 362 most common (13.6%). Using multivariate logistic regression analysis, it was found that
 363 hemorrhage was associated with increased in-hospital mortality (odds ratio, 2.97; 95%
 364 confidence interval, 1.07-8.24; p = 0.036). Hemorrhage-induced death occurred in four
 365 patients (1.5%). The most common reason for death was organ failure (37.8%).[44]

366

367 Warren et al performed an observational study in the NHS in England which included 1205
 368 patients with severe respiratory failure refractory to conventional measures who were
 369 supported with ECMO; the majority (n=1150; 95%) had V-V ECMO alone. The survival rate at
 370 ECMO ICU discharge was 74% (n=887). Survivors had a lower median age (43 yr [32-52]),
 371 compared with non-survivors (49 yr [39-60]). Increased severity of hypoxemia at time of
 372 decision-to-cannulate was associated with a lower probability of survival: survivors had a
 373 median SaO₂ of 90% (84-93%; median Pao₂/Fio₂, 9.4 kPa [7.7-12.6]), compared with non-
 374 survivors (SaO₂ 88% [80-92%]; Pao₂/Fio₂ ratio: 8.5 kPa [7.1-11.5]). Patients requiring ECMO
 375 because of asthma were more likely to survive (95% survival rate (95% CI, 91-99%), compared
 376 with a survival of 71% (95% CI, 69-74%) in patients with respiratory failure attributable to
 377 other diagnoses.

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378 An Extracorporeal Life Support Organization (ELSO) registry query for adults with asthma
 379 showed survival rates of nearly 85% with asthma being one of the best outcome subsets for
 380 patients with refractory respiratory failure requiring ECMO support.[30,63]

381 A more recent ELSO registry query for ECMO support for adults with asthma found successful
 382 decannulation in 86.7% and survival to discharge of 83.5%, with the most common reason for
 383 death being organ failure with some observed characteristics among non-survivors: older age,
 384 bleeding, higher pre- ECMO PEEP, higher post-ECMO FiO₂, and post-ECMO driving pressure
 385 were all significantly associated with in-hospital mortality.[43] The lower survival in adults
 386 compared to children is probably due to fewer comorbidities in children and the absence of
 387 associated pulmonary comorbidities. So, it seems the ideal time to start thinking about ECMO
 388 is somewhere from failure to control hypercarbia despite all the medical and ventilatory
 389 therapies and the onset of hemodynamic compromise and cardiopulmonary arrest, but
 390 certainly before cardiac arrest.

391

392 **5.2 Use of ECCO₂R in NFA:**

393 The use of ECCO₂R for rescue in NFA has been described in both children and adults (**Tables**
 394 **7-8**), posing advantages to transfer patients to ECMO referral centers as ECCO₂R does not
 395 need cannulation.[46,47]

396 The use of ECCO₂R was prospectively studied in a multicenter observational study and
 397 reported its safety and efficacy in a group of 70 patients with varied etiologies of respiratory
 398 failure.[48] This study had six patients with severe asthma though the study did not report
 399 detailed outcomes by diagnostic categories. Overall survival was 50%, and only 4% mortality
 400 was reported to be ECCO₂R related.

401 The outcomes and effectiveness of ECCO₂R and ECMO have been assessed in moderate to
 402 severe ARDS patients.[49] While there are some physiological and technical differences
 403 between the two which have been described (**Table 8**), in the authors experience, many
 404 patients develop consolidation of the lungs and a secondary failure in oxygenation as the
 405 underlying infection, viral or bacterial, which can often be present. It is therefore safer to use
 406 V-V ECMO which can fully support oxygenation and CO₂ removal at a blood flow rate of 60 to
 407 80 mL/kg/min in larger children and adolescents. If bronchospasm is the patient's only issue
 408 the circuit can be run at a lower blood flow rate with higher sweep with ECCO₂R mode.

409

410 **Table 7. Brief summary of case reports on ECCO₂R and AVCO₂R therapies for refractory**
 411 **acute severe asthma [modified from [(42)]]**

Reference	Type of extracorporeal support	#patients	Median age (years)	Median pH	Median PaCO ₂ levels	Duration of ECCO ₂ R support in hours	Survival rate

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<i>Elliot et al</i> [50]	ECCO2R	2	74 and 52	7.08 and 7.2	106 and 120	121 and 120	100%
<i>Aravantagi et al</i> [44]	AVCO2R	1	9	7.09	97	120	100%
<i>Schneider et al</i> [51]	ECCO2R	1	67	7.24	61	34	100%
<i>Brenner et al</i> [52]	ECCO2R	2	48 and 59	6.94 and 7.12	147 and 78	36 and 216	100%
<i>Pavot et al</i> [53]	ECCO2R	2	27 and 30	7.29	49 and 90	336 and 192	100%

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Table 8. Summary of studies on Extracorporeal Membrane Oxygenation and ECCO2R for refractory acute severe asthma [modified from [42]]

Reference	Pediatric/Adult	#patients	Median age (years)	Median pH	Median PaCo2 levels (mm Hg)	Duration of ECMO/ECCO2R support (hours)	Survival rate (%)	Venovenous ECMO (%)
<i>Hebbar et al</i> [54]	Pediatric	64	10	6.98	120	93	95	92
<i>Greenwald et al</i> [55]	Pediatric	5	9	6.97	100	108	100	80
<i>Medar et al</i> [42]	Pediatric	3	14	7.08	100	144	100	100
<i>Yeo et al</i> [43]	Adults	272	36	7.1	80	176	83.4	97
<i>Dilascio et al</i> [56]	Adults	16	50	6.89	111	300	100	81
<i>Vutipongsaorn et al</i> [57]	Adults	10	38.4	Not reported	120	161	100	not reported
<i>Mikkelsen et al</i> [2]	Adults	24	31.3	7.17	120	112	83.4	59
<i>Zakrajsek</i> [58]	Adults	127	38	Not reported	Not reported	Not reported	85.4	82.7

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6. Management

6.1. General management of patients with V-V ECMO support:

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419 When ECMO is initiated, the mechanical ventilator settings should be modified, given that
420 standard mechanical ventilation can cause lung injury by activating inflammation that
421 worsens pulmonary damage. In one study of patients receiving ECMO, the only ventilator
422 parameter that was independently associated with reduced mortality while on ECMO was
423 minimizing driving pressure.[59] It has been suggested that a target tidal volume of 4 mL/kg
424 of predicted body weight (commonly known as ultra-lung-protective ventilation) could have
425 better outcomes than the standard 6 mL/kg.[60] Currently, the recommended ventilation
426 strategy with ECMO is reducing tidal volume as much as possible maintaining a driving
427 pressure ≤ 14 cmH₂O, with PEEP level ≥ 10 cmH₂O at least during the first three days.[61,62].
428 During ECMO, oxygenation is controlled by the ECMO circuit blood flow, membrane lung
429 sweep gas oxygen concentration (FiO₂) and oxygen carrying capacity.[38] Carbon dioxide
430 removal is controlled by sweep gas flow.[38] In the case of severe pulmonary disease with no
431 native lung function, the systemic arterial oxygen saturation is a result of mixing the flow of
432 oxygenated blood from the membrane lung with the flow of venous blood which has not
433 entered the ECMO circuit and passes directly into the right ventricle.

434 Recirculation is a phenomenon, exclusive to V-V ECMO, in which reinfused oxygenated blood
435 to the patient is withdrawn again through the drainage cannula without passing to heart and
436 the systemic circulation. Recirculation and a low ECMO-flow-to-cardiac-output ratio are two
437 common causes of persistent hypoxemia. [62]

438 Recirculation is the unique complication in patients on VV-ECMO bleeding is one of the most
439 common complications. Chatter, or rattling, of the circuit and ECLS lines and shall occur at any
440 time after the pump is running, caused by a mismatch between circuit volume and pump
441 circuit pressure resulting in turbulence in the system. It may be due to vasodilation as a part
442 of systemic inflammatory response syndrome (SIRS) response upon exposure of blood to the
443 extracorporeal circuit.[63]

444

6.2: ECMO Management specifics in NFA

445 The mechanism of hypoxemia and hypercapnia in NFA is mainly due to low ventilation
446 perfusion, ventilation/perfusion mismatch, shunting, and hypoventilation. [64,65] The
447 implementation of V-V ECMO may minimize these situations, or at least their consequences.
448

449

450 Successful management of a patient with NFA requires high levels of expertise for respiratory
451 specialists (pulmonologists and other respiratory specialists) and intensive support in an ICU.
452 Intensive therapy, including the careful manipulation of mechanical ventilation, and the
453 utilization of ECMO as well as the mode change in the proper setting can be life-saving in
454 severe asthmatic patients admitted to the ICU.[37,45]. **Table 9** summarizes the specific
455 advantages that V-V ECMO offers in patients with NFA:

456

Table 9. Benefits of ECMO support in NFA patients

- 457 • Extend expiratory time, even using apnea
- 458 • Possibility to perform a bronchoscopy

459

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462

- Possibility to decrease intrathoracic pressures, even normalize them
- Possibility to awaken the patient (also a benefit of IMV)
- Gradual and slow normalization of PaCO₂ and pH by gentle sweep flow titration.

463

464 **7. Complications:**

465 **7.1. ECMO complications:**

466 ECMO is a complex therapy implemented in the most critically ill patient. Complications may
467 be frequent and life-threatening. They are broadly divided in mechanical and patient-related
468 complications. The most frequent complication process are bleeding and thrombotic events,
469 with association with a higher risk of mortality, especially bleeding events.[66] Other feared
470 complication is infection, also associated with a higher risk of mortality.[67] There is a peak
471 of risk of complication during the cannulation process, especially bleeding complications but
472 also air embolisms.

473

474 **7.2. NFA specific complications:**

475 In the process of the percutaneous insertion of the cannula in the right internal jugular vein,
476 there would be a high risk of causing pneumothorax due to lung hyperinflation. The use of an
477 extracorporeal circuit may boost the inflammation by cytokines release [39,68]. However,
478 with new more biocompatible devices a significant clinical manifestation of this effect is rare.
479 Finally excessive lung hyperinflation due to air trapping, may lead to extracorporeal flow
480 variations.

481

482 **8. Outcomes:**

483

484 **8.1: ECMO in NFA:**

485 Whether ECMO confers a survival advantage in refractory acute severe asthma, compared to
486 other treatment options, remains unknown.[2] Furthermore, while specific criteria exist for
487 initiating ECMO in some etiologies of respiratory failure such as ARDS,[37] criteria do not exist
488 for the initiation of ECMO for refractory severe asthma.[2] Unfortunately, a randomized
489 controlled trial to assess the efficacy of ECMO in this population appears to be an unrealistic
490 goal given the apparent rare use of ECMO for this disease nowadays and given the lack of
491 recommendations in currently available asthma management guidelines worldwide.[37,69]
492 For these reasons, it is imperative that clinicians report all ECMO cases, including
493 interventions received an indication for initiation, and outcomes to the ECMO national
494 registries to further our understanding of this potentially life-saving intervention.[37,45,54]

495

496 Mikkelsen’s et al [2] described the outcomes of ECMO use in adult respiratory failure due to
497 acute severe asthma and to determine whether extracorporeal life support (ECLS) use in
498 status asthmaticus is associated with greater survival than other indications for ECLS. This
499 retrospective cohort study used the multi-center, International Extracorporeal Life Support

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500 Organization (ELSO) registry. The study population included 1257 adults with respiratory
501 failure requiring ECLS. Acute severe asthma was the primary indication for ECLS in 24 patients
502 which is a low number of patients constituting a limitation. 83.3% of patients with asthma
503 survived to hospital discharge, compared to 50.8% of non-asthma patients (n=1233) (OR
504 favoring survival for asthmatics = 4.86, 95% CI 1.65–14.31, p=0.004). The survival advantage
505 for asthmatics remained significant after adjustment for potential confounders.
506 Complications were noted in 19 of 24 patients with asthma (79.2%). In conclusion, these
507 authors found that status asthmaticus, as an indication for ECMO in adult respiratory failure,
508 appeared to be associated with greater survival than other indications for ECMO.[2] Patients
509 with asthma, compared to patients without asthma, were younger, received less mechanical
510 ventilation pre-ECMO, were maintained on ECMO for a shorter duration, were more acidotic,
511 and were less hypoxic with higher PaO₂/FiO₂ ratios (**Table 10**). V-V ECMO was used more
512 frequently in the patients with asthma group, while V-A ECMO was used more frequently in
513 patients without asthma. The authors found that 93% of patients receiving ECMO for acute
514 severe asthma survived and these favorable outcomes persisted after adjusting for potential
515 confounders.[2] However, the authors explained as a limitation that they cannot predict how
516 these patients would have fared had they not received ECMO.

517
518 Although it is estimated that 7–8% of mechanically ventilated patients with asthma will not
519 survive their hospitalization, a precise estimate of mortality for the most severe cases is not
520 known. Other reports estimate the mortality of NFA treated with conventional management
521 at nearly 30%.[30,43] The number of complications for the asthmatic group appeared to be
522 comparable to previously published data for adult ECLS [1,2]. These findings suggest that
523 patients with asthma experienced complications in similar proportions to the broader ECMO
524 patient population.

525
526

527 **Table 10. Aspects related with better ECMO outcomes in patients with acute severe asthma**

Better ECMO outcomes
Younger age
Higher Body mass index
Less severe hypoxaemia

528
529
530 A total of 1312 patients were identified on the NHS ECMO registry between December 1st,
531 2011 and December 31st, 2017.[30] Overall, 74% patients survive to ECMO ICU discharge,
532 being that the primary outcome. Out of the 1312 patients, 115 patients had asthma (10%) as
533 a primary diagnosis. Other common diagnoses were viral pneumonia (n=267;22%) and
534 bacterial pneumonia (n=233; 20%). The median duration of ECMO support was 191 hours,
535 with a median 16-day length of stay. The most common patient-related complications were
536 infection (15%), arrhythmia (11%) and pneumothorax (10.4%). Survivors had a lower median

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537 age (43 vs 49; 95% CI) and were more likely to have a primary diagnosis of asthma (12% vs
538 2%; 95% CI). More interestingly, patients with a primary diagnosis of asthma had a survival
539 rate to ECMO ICU discharge of 95% (95% CI), compared with 71% of those with other
540 diagnoses. After using multiple logistic regression models, factors associated with survival
541 included: younger age ($p < 0.001$), higher weight ($p < 0.001$), primary diagnosis of asthma
542 ($p < 0.001$) and higher SaO₂ at the time for decision-to-cannulate ($p = 0.008$). (**Table 10**) The
543 authors state that the survival rate is the highest reported until now, in cohorts of adult
544 respiratory ECMO, and significantly higher than the 60% survival reported by the January 2020
545 ELSO international summary for adults treated for respiratory failure, probably due to patient
546 selection criteria, and a small number of specialist centers.[30]

547
548 ECMO has also been used in refractory cases of acute severe asthma and refractory
549 bronchospasm.[70] In cases of intractable respiratory acidosis, where it is impossible to
550 adequately ventilate the patient, ECMO can be an invaluable resource, as it can be much more
551 efficient at carbon dioxide removal.[71] The major determinants of how quickly a drop in the
552 PaCO₂ can be achieved are the sweep gas flow and the partial pressure of carbon dioxide in
553 arterial blood entering the membrane lung, i.e., the higher the pCO₂, the higher the efficiency
554 with which the carbon dioxide will be removed. A 5-year retrospective study of the outcomes
555 of ECMO for the treatment of severe asthma among 16 patients at a tertiary center revealed
556 rapid resolution of both hypoxemia and hypercapnia, with significant improvement noted in
557 the first hour.[56] The use of ECMO in respiratory failure allows for the lungs to rest (using
558 ventilator settings that would minimize ventilator-induced lung injury—the so-called “ultra-
559 low” and low tidal volume ventilation—usually tidal volumes in the order of 4-6 mL/kg of ideal
560 body weight). ECMO also allows for aggressive airway clearance, such as repeated therapeutic
561 bronchoscopies for mucus plugging and then bronchoscopy-guided electro-cautery to relieve
562 subsequent tracheal stenosis.

563
564 Patel et al suggested that the requirement for ECMO was associated, in Patel’s et al review
565 with younger age, female sex and the presence of either fungal or rhinoviral infection in the
566 lower airway (**Table 10**).[3] In addition, a higher white cell count, a more profound degree of
567 hypercapnia and acidemia, as well as an increased length of stay in the ICU and hospital
568 overall, were observed in those requiring ECMO support.

569
570 Interestingly, a lower percentage of patients receiving moderate and high-dose inhaled
571 corticosteroids (ICS) and/or long-acting β -agonists required ECMO compared to those
572 requiring mechanical ventilation only (moderate: 17% versus 27%; high: 6% versus 12%).
573 Furthermore, no patient in either treatment group had required long-term oral
574 corticosteroids or biologic agents. In those requiring ECMO, the authors report that in the
575 year preceding acute admission, only 31% (seven out of 22) received regular ICS, 36% had
576 documentation of regular short-acting β -agonist use and 31% had received at least one 7-day
577 course of oral corticosteroids (data not shown).[3]

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578 This case series highlights rhinovirus infection as well as positive fungal isolates as being
579 particularly associated with the need for ECMO in patients with acute severe asthma.[3,14,55]
580 It is noteworthy that despite the severity of illness and inability to mechanically ventilate
581 these patients, ECMO was associated with 100% survival and widespread access to this life-
582 saving therapy should be made a priority.(Table 9) [3]

583

7. Conclusion and Unmet needs:

585 In cases of severe acute asthma with intractable respiratory acidosis, where it is impossible
586 to adequately ventilate the patient, ECMO can be an invaluable resource, as it can be much
587 more efficient at carbon dioxide removal.[49] In the future, circuits should be optimized in
588 terms of biocompatibility, be smaller in size [59] and portable, ideally not needing
589 anticoagulation and showing a lower proinflammatory profile.[72] The development of
590 bioengineering research with regards ECCOR is of special relevance. Further use of ECMO in
591 the critical patient is expected to increase in the next few years. Although we do not expect
592 to have solid evidence base of the use of ECMO/ECCO2R in NFA, the results of the available
593 literature and experience recommend to have these procedures as valuable options, specially
594 ECMO, as it has a very valuable life-saving potential provided it is performed in specialized
595 and referral centers.[29–32,35,37,73]

596

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